



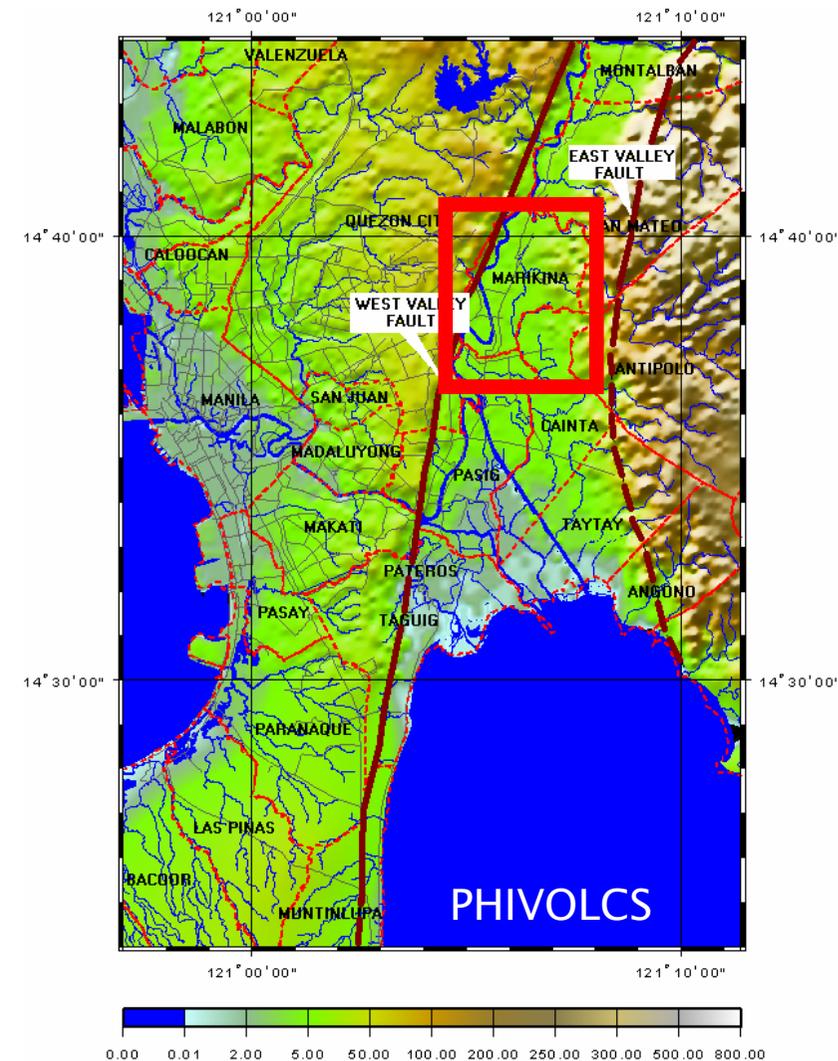
# **Investment for Disaster Reduction**

## **–A Case Story of Marikina City, Metro Manila, Philippine–**

**Haruo HAYASHI, Ph.D.**  
**Disaster Prevention Research Institute,**  
**Kyoto University, Japan**



# Marikina City & Metro Manila: 17 cities and 10 million people





# Why we started this project in Marikina: It is for “EqTAP” Master Plan

- EqTAP is a five year multi-national collaborative research project (14 countries) for the development of “Master plan for Earthquake and Tsunami Disaster Reduction in Asia-Pacific Region” (1999–2004)
- Risk Management Framework/Case Study Approach
- Metro Manila has been selected for case study site because of high seismicity and resulting impact

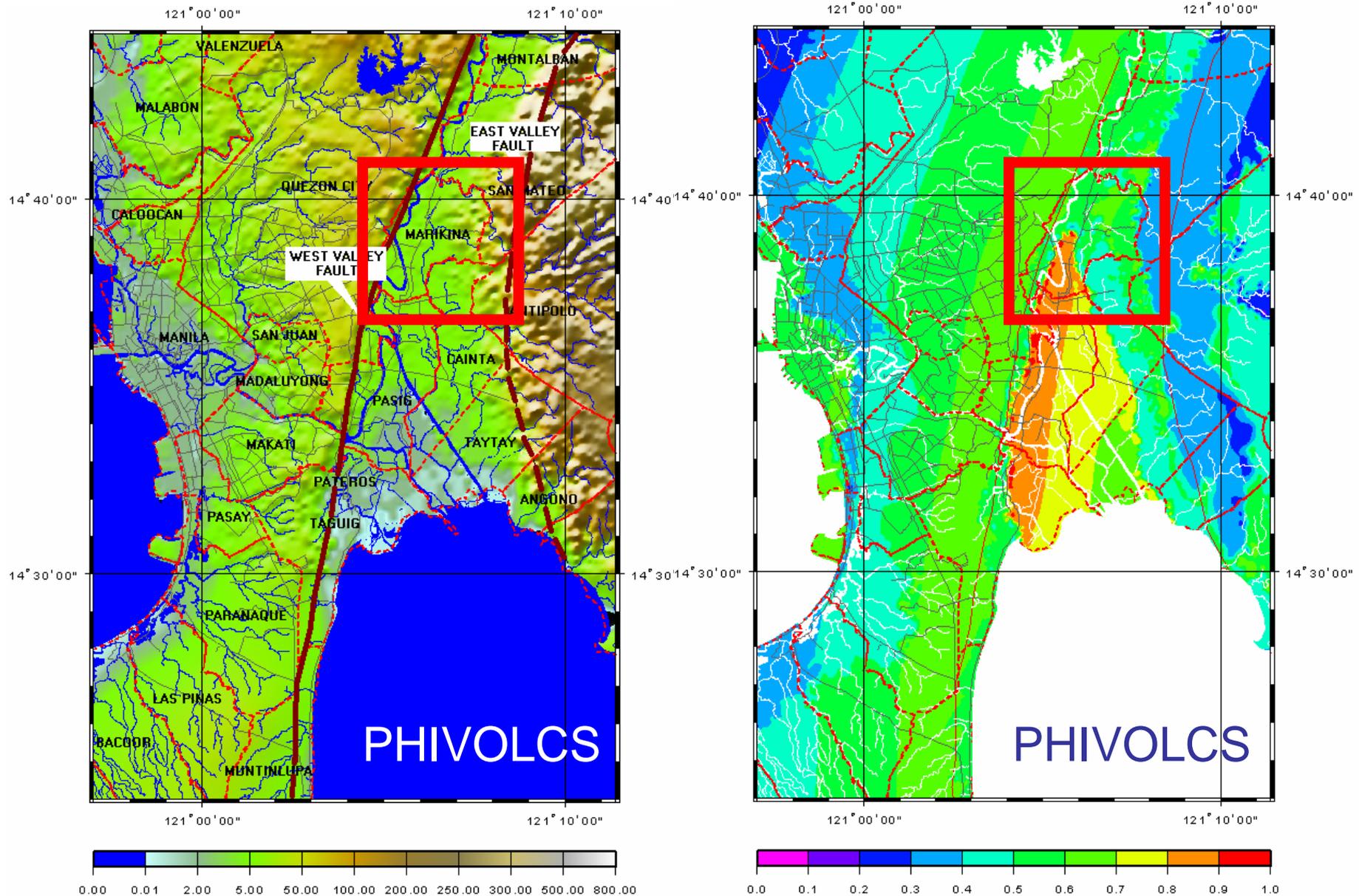


# Risk Management Framework

- Management Cycle (PDCA)
  - Risk assessment
  - Strategic planning
- Stakeholder Involvement
  - Workshop: Sense of ownership
- Holistic Framework
  - Disaster reduction as a tool for sustainable economic development
  - Multi-disciplinary array of countermeasures

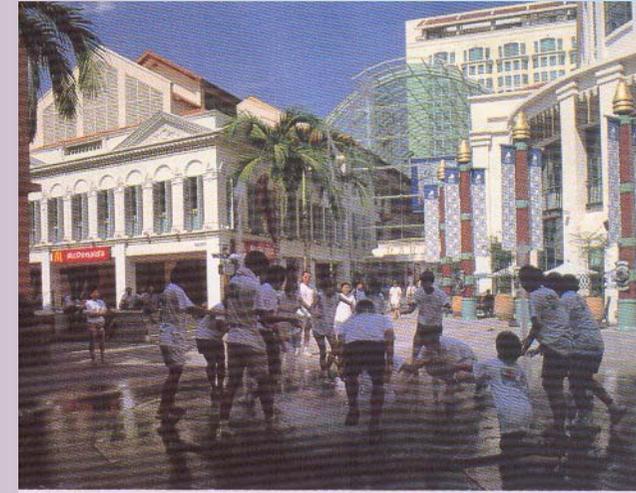


# Marikina Fault & Marikina River:



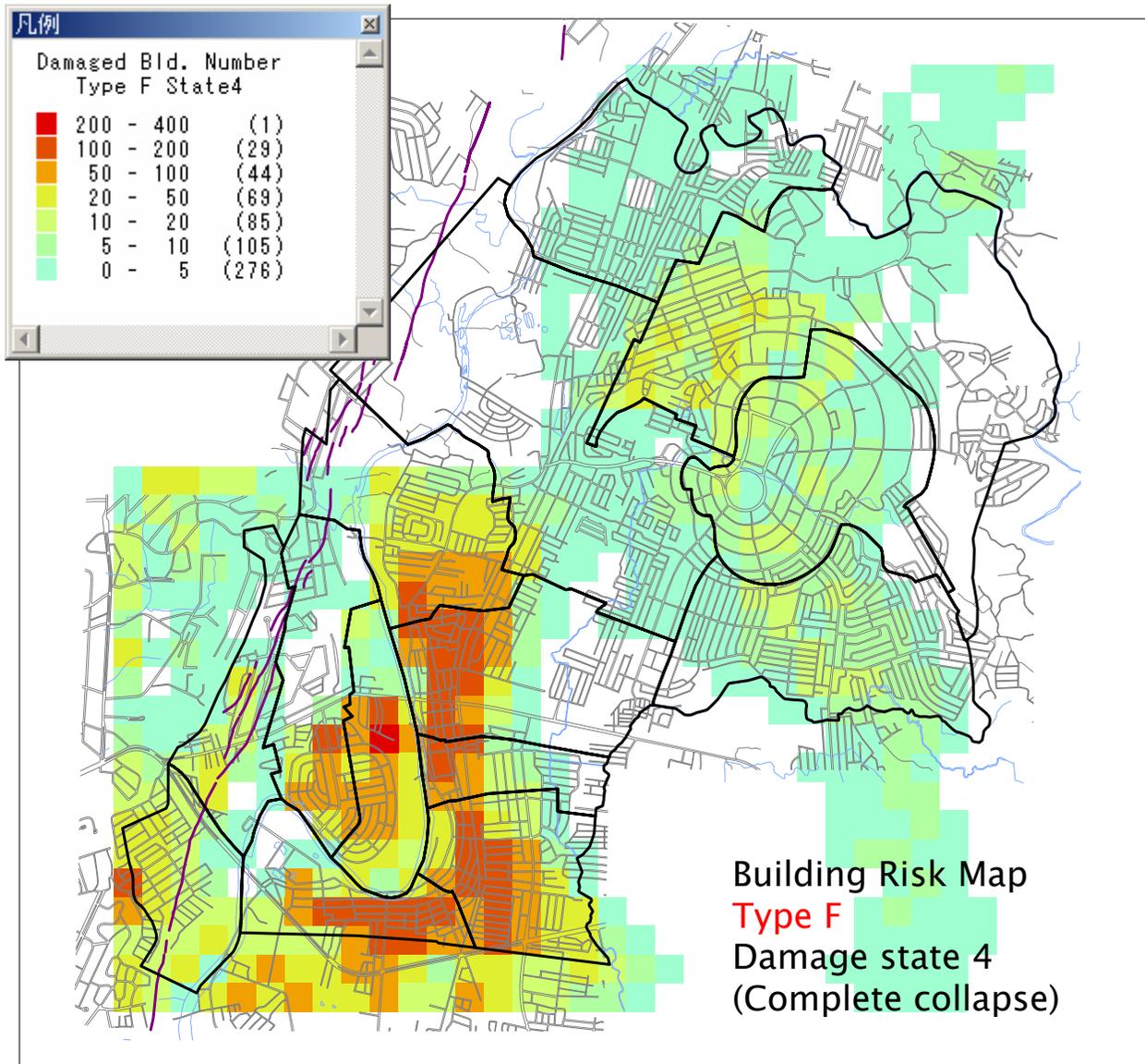
# MARIKINA: A World Class City

## Marikina as a little Singapore



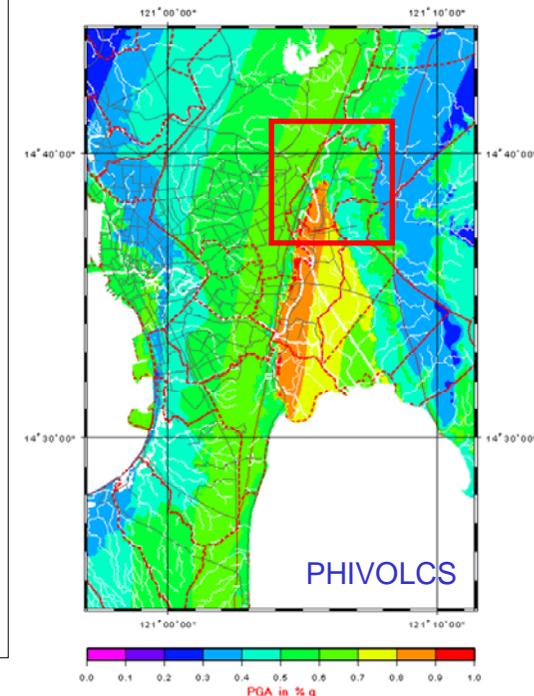


# Residential Damage Estimates in Marikina City



Complete  
Collapse

13,000+ Buildings





City of Marikina as our partner because of her excellence in self-governance and high motivation for disaster reduction



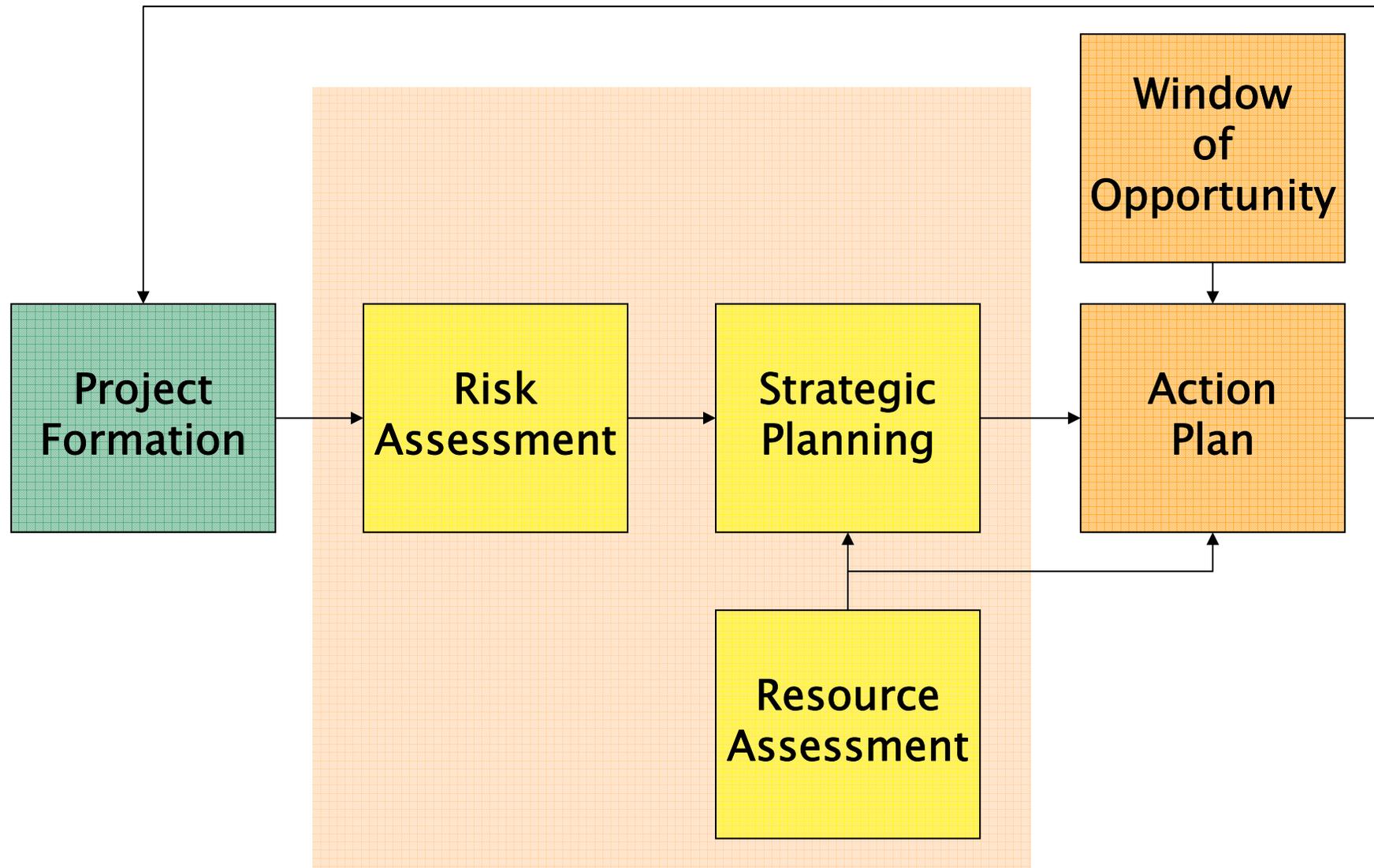


# What this project did in Marikina:

- Risk Assessment
  - Interactive risk assessment
- Strategic Planning Framework
  - Management by Objective
  - Top-down approach
- Comprehensive Earthquake Disaster Reduction (CEDR) Program > Action Plan
- Performance Measurement Scheme
  - Monitoring Tool
- Project Management Framework as the basis



# Framework of “Investment for Disaster reduction” Project





# Step 0: Project Formation

## Goal

Knowing about earthquakes and damage they can cause

### Local Input

Lack of understanding of earthquake damage

### Expert Input

Visual Images of major earthquakes such as Baguio, Kobe, Turkey and Taiwan



### Deliverables

Clear understanding about earthquake damage among core stakeholders



# Step 1: Problem identification WS (January)

## Goal

Assessing earthquake risk locally and interactively

### Local Input

List of local assets  
stakeholders want to  
protect from  
earthquakes

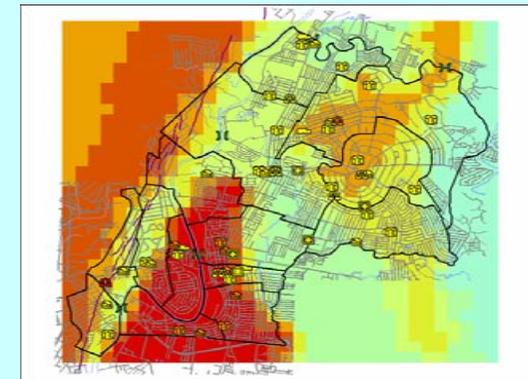


### Expert Input

Risk Assessment  
method (GESI method  
by UN) that damage  
state for individual  
structure can be  
obtained

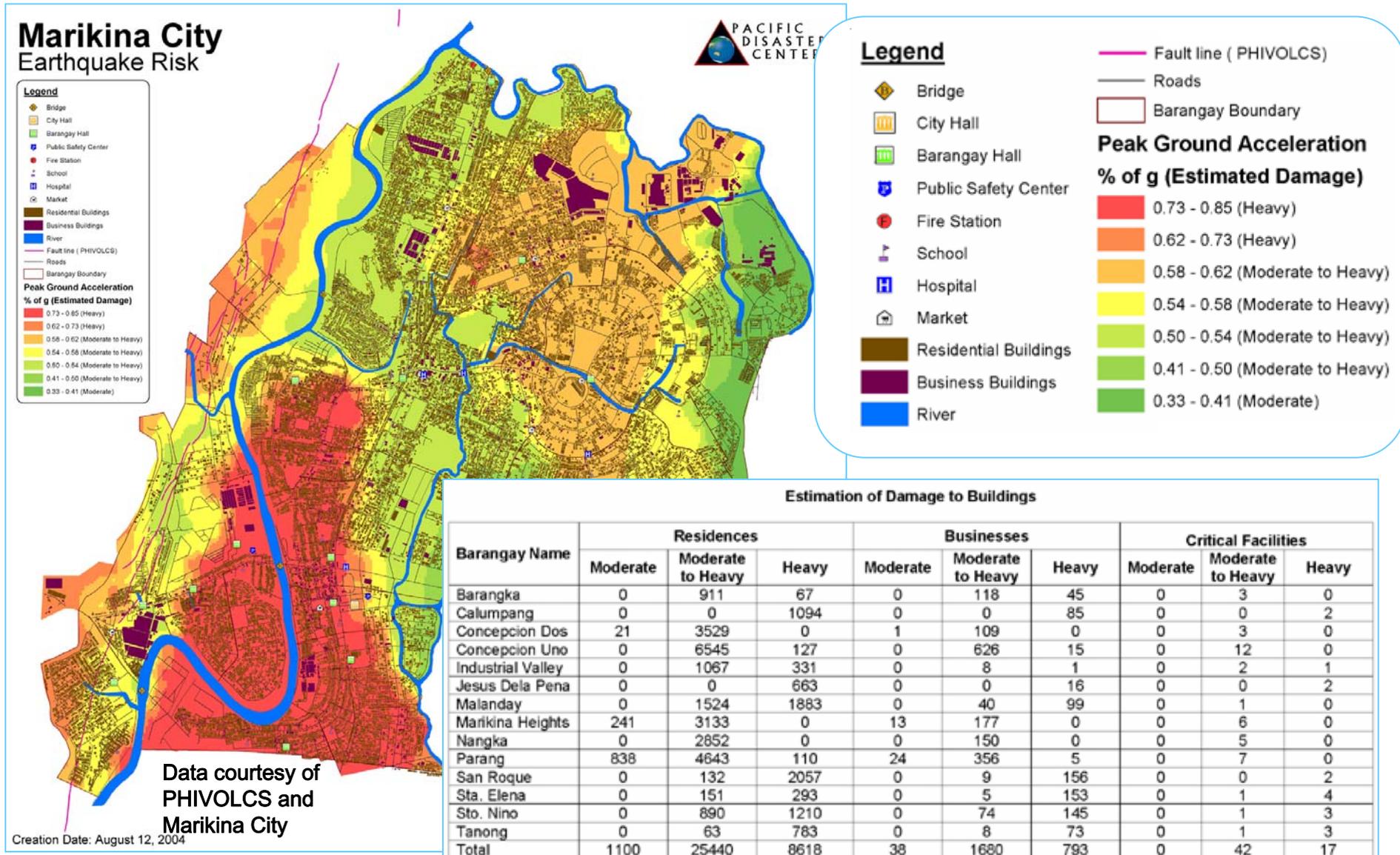
### Deliverables

Risk Assessment  
results tailored for  
Marikina City





# Earthquake Vulnerability Map





# Step 2: Risk assessment and Goal Setting WS (May)

## Goal

### Setting Project Goal

#### Local Input

Comprehensive Land  
Use Plan: CLUP(2000)  
Marikina Vision  
(2002)



#### Expert Input

Linkage between  
earthquake disaster  
reduction and  
sustainable  
economic  
development

#### Deliverables

Decision to develop  
Marikina  
comprehensive  
earthquake disaster  
reduction program



# ***Basis for Long-term Prosperity: Land Use and Economic Changes***

- *Marikina is basically a "bedroom community" - a considerable number of people work outside of the city. (CLUP)*
- *...this trend is likely to change within the next 10-15 years. (CLUP)*
- *As jobs are provided closer to homes, Marikina shall no longer be known as a "bedroom community. (Web site)*

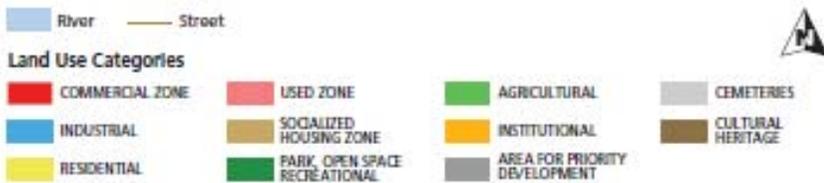
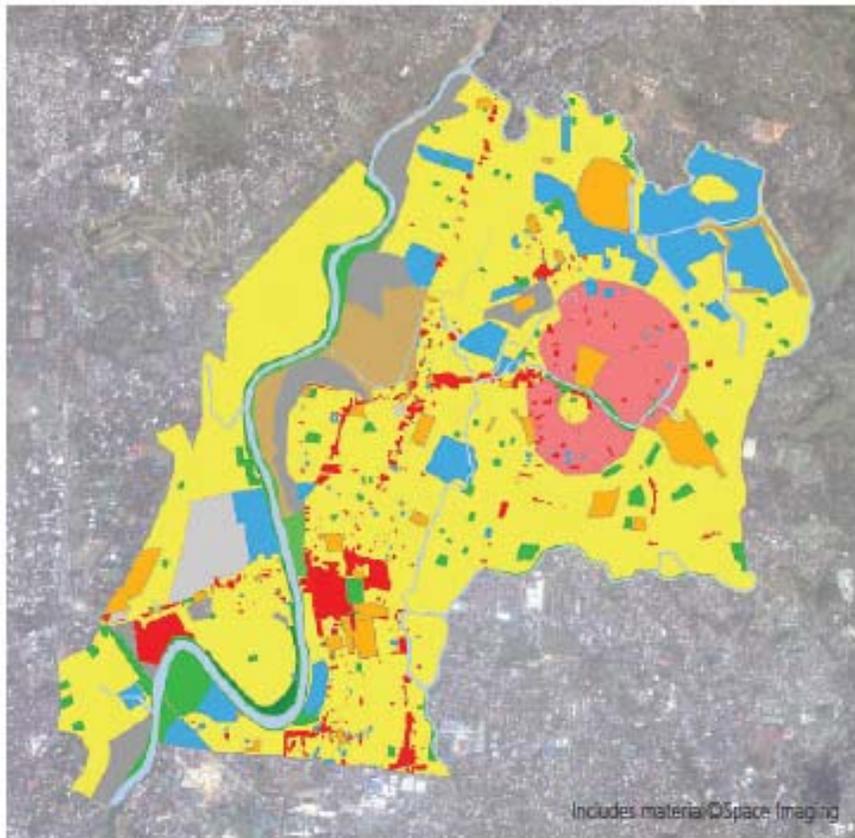


Figure 2. Existing Land Use

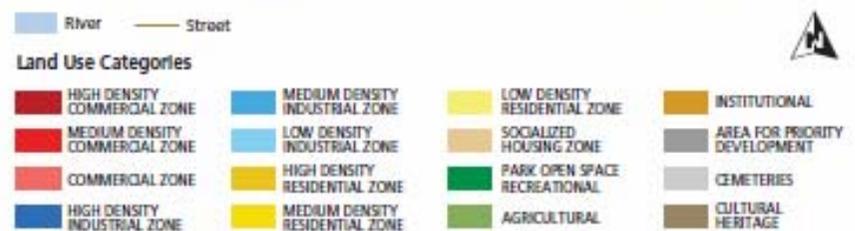
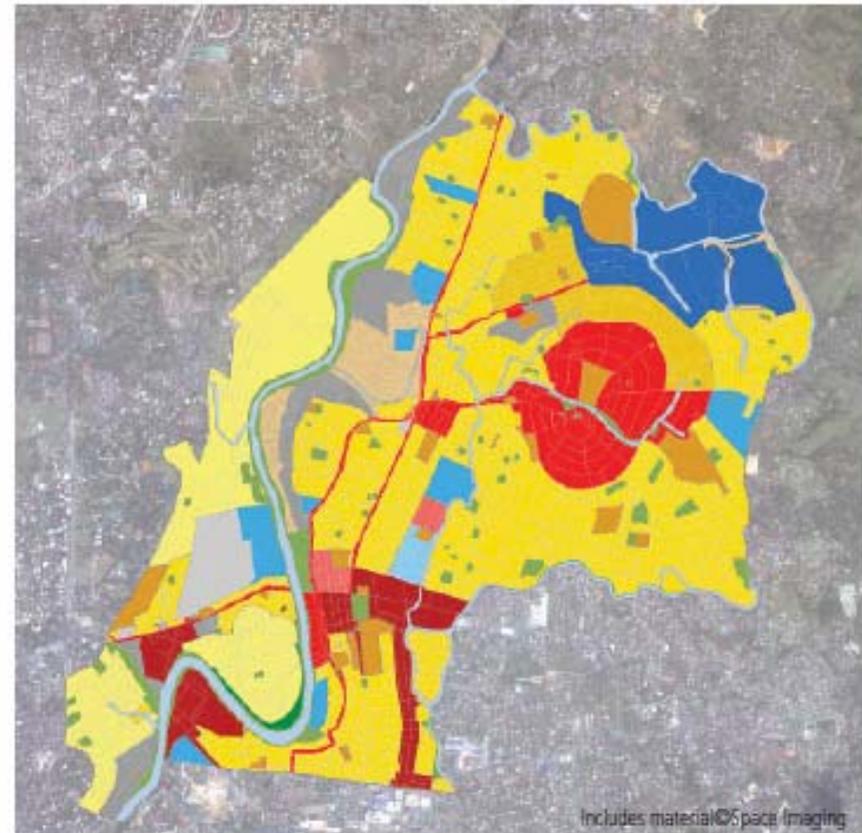


Figure 3. Planned Land Use

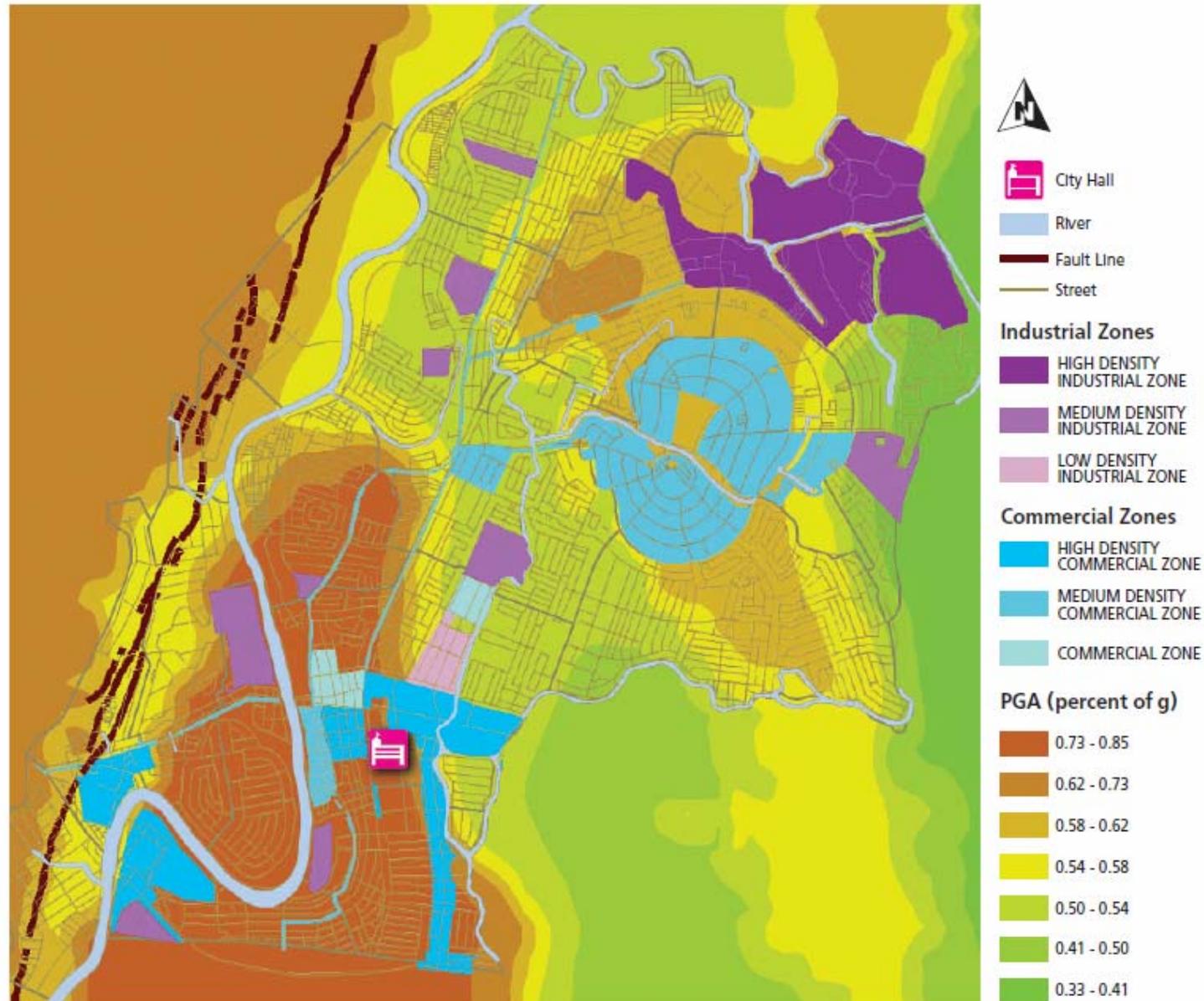


Figure 5. Commercial and Industrial Areas Subject to Heavy Shaking



# *Needed: A Disaster Reduction Plan*

- *Marikina City must expand its vision, goals and objectives to include disaster reduction*
- *What should be the City's disaster reduction goals and objectives?*
- *What disaster reduction targets, programs and projects should Marikina pursue?*



# Step 3: Plan-concept WS (July)

## Goal

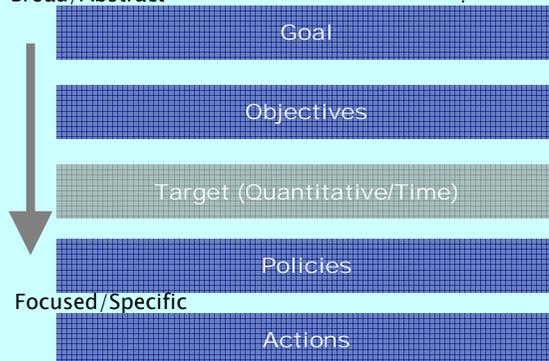
Policy & Strategy, Program & Project Identification

### Local Input

Strategic Planning structure used in CLUP

#### *Strategic Planning*

Broad/Abstract



### Expert Input

Concept Plan Matrix

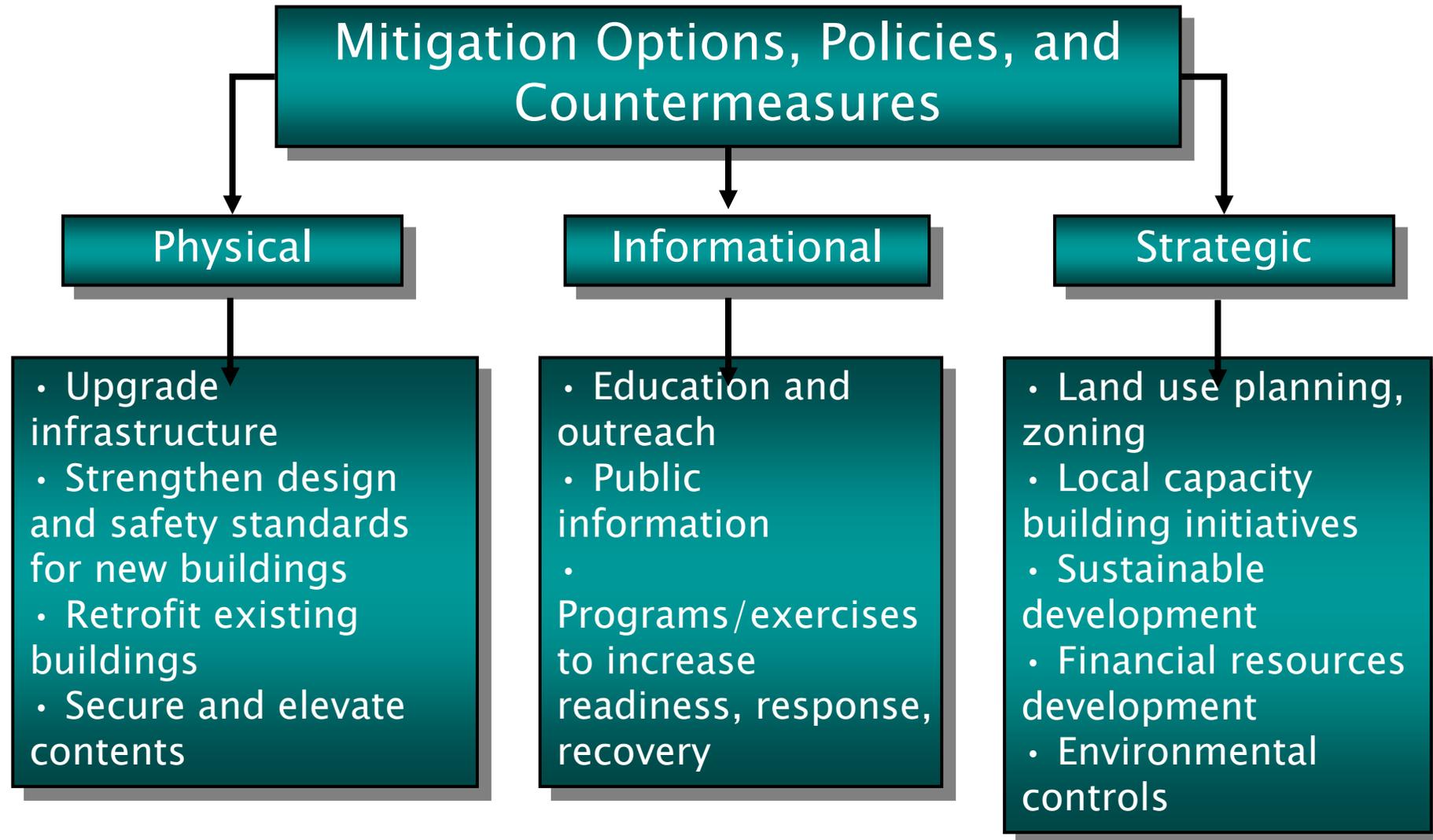
	OBJECTIVES	Mitigation	Preparedness	Response	Recovery
1	Critical Facilities				
2	New Buildings				
3	Existing Buildings				
4	Education				
5	Research & Technology				
6	Public Information				
7	Land Use Planning				
8	Institutional Initiatives				
9	Economic Development				
10	Sources of Finance				

### Deliverables

Comprehensive Earthquake Disaster Reduction Program consists of 1 goal, 10 objectives,



# DPRI Planning Process has led to Local Advocacy for Mitigation Policies





## Step 4: Implementation WS (October)

### Goal

#### Resource Assessment

#### Local Input

Individual  
Evaluation of  
216 policies

#### Expert Input

Nominal Group  
technique

#### Deliverables

Evaluation results of  
216 policies in  
terms of internal  
and external  
resource availability



# Comprehensive Earthquake Disaster Reduction (CEDR) Program

- Goal: The City of Marikina is committed to accomplishing the following goal: to protect human safety, property, and activities.
- Objectives: 10 Objectives reflecting 10 fields of countermeasures
- Policies: 56 Policies
- Actions: 216 Actions taken by Marikina City



# Physical Countermeasures

## **1. Critical Facilities**

- Protect and strengthen infrastructure facilities to prevent loss of lives and damage to properties, to allow continued use, and to restore normalcy quickly following disasters.

## **2. New Buildings**

- Improve the quality of building design and standards to encourage and safeguard investment and to protect human lives, property, and activities.

## **3. Existing Buildings**

- Identify the condition of existing buildings and take corrective action to prevent loss of lives and properties and to allow continued use.



# Informational Countermeasures

## **4. Education**

- Raise consciousness and preparedness of all citizens of Marikina through education and training about earthquake disaster issues.

## **5. Research & Technology**

- Identify and evaluate high risk areas through research and appropriate technologies.

## **6. Public Information**

- Formulate, maintain, and sustain a continuous public information campaign strategy before, during, and after earthquakes.



# Strategic Countermeasures

## 7. Land Use Planning

- Realize the vision of Marikina as a little Singapore facing minimal risk of earthquake disaster damage through a well defined land use plan and disaster management program.

## 8. Institutional Initiatives

- Build Marikina's capacity to mitigate, prepare, respond and recover from a major earthquake through developing a Disaster Reduction Plan.

## 9. Economic Development

- Incorporate disaster management into the enhancement of livelihoods and economic development.

## 10. Sources of Finance

- Identify existing local sources of finance and generate additional funding from other sources to support earthquake disaster reduction.



# Step 5: Action Plan WS (November)

## Goal

### Action Plan Formation

#### Local Input

Feasibility check of prioritized policies

#### Expert Input

Prioritization method

#### Deliverables

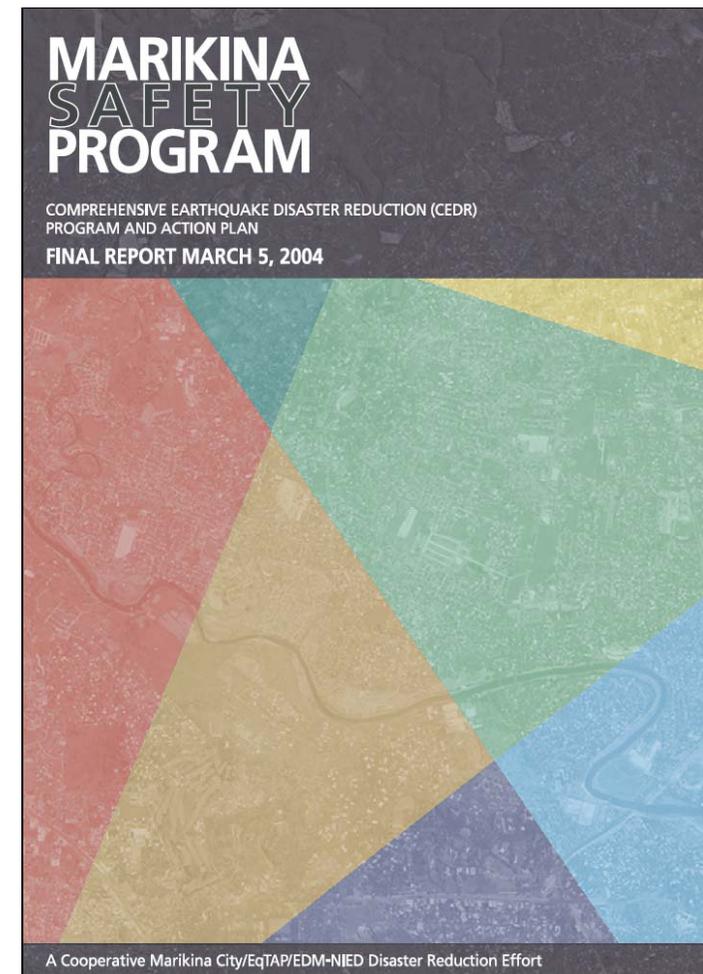
Action plan consists of 113 policies for the achievements of 10 objectives

Objective		Timing of Implementation			Suggested Dept
		Now	Soon	Later	
✓	Policies/Strategies	Program/Project			Eng
✓			Program/Project		Soc
				Program/Project	Fin
✓	Policies/Strategies		Program/Project		161
✓	Policies/Strategies			Program/Project	Edu



## Stakeholder-driven strategic planning workshops produced:

- a GIS-based earthquake risk assessment
- a long-term Comprehensive Disaster Reduction Program, identifying objectives, policies, and programs
- an Action Plan identifying actions prioritized by time, plus assignments of lead agencies and departments





# How we did it with Marikina people: Stakeholder Involvement

- Bottom– up Approach
  - Local Government Unit as a Core Stakeholder
  - Community–based Capacity Building
- Participatory Approach
  - Participation through Workshop
  - Sense of ownership
- Outreach Mechanism
  - Exhibition
  - House Demolition Experiment

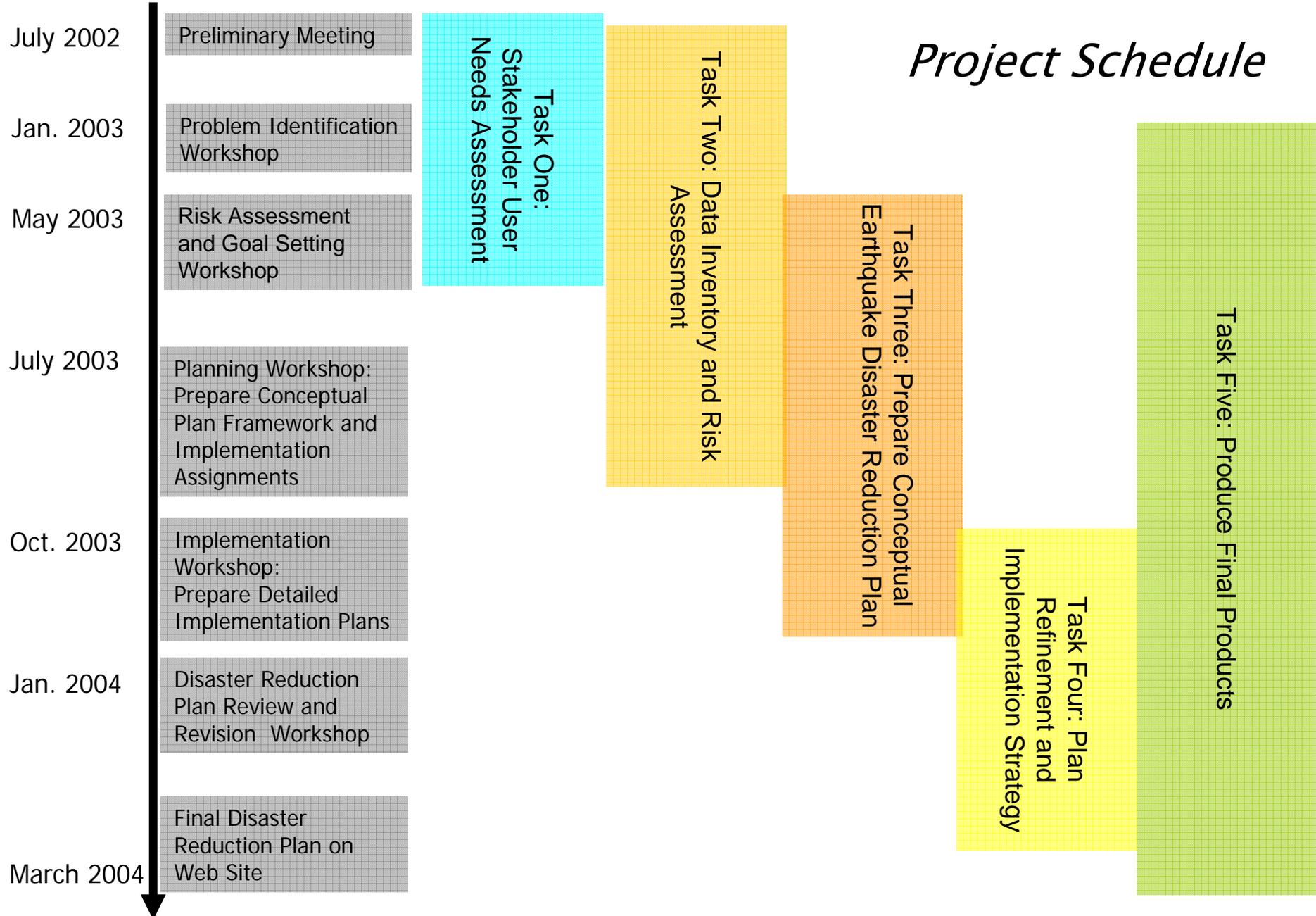


# Five Workshop Series



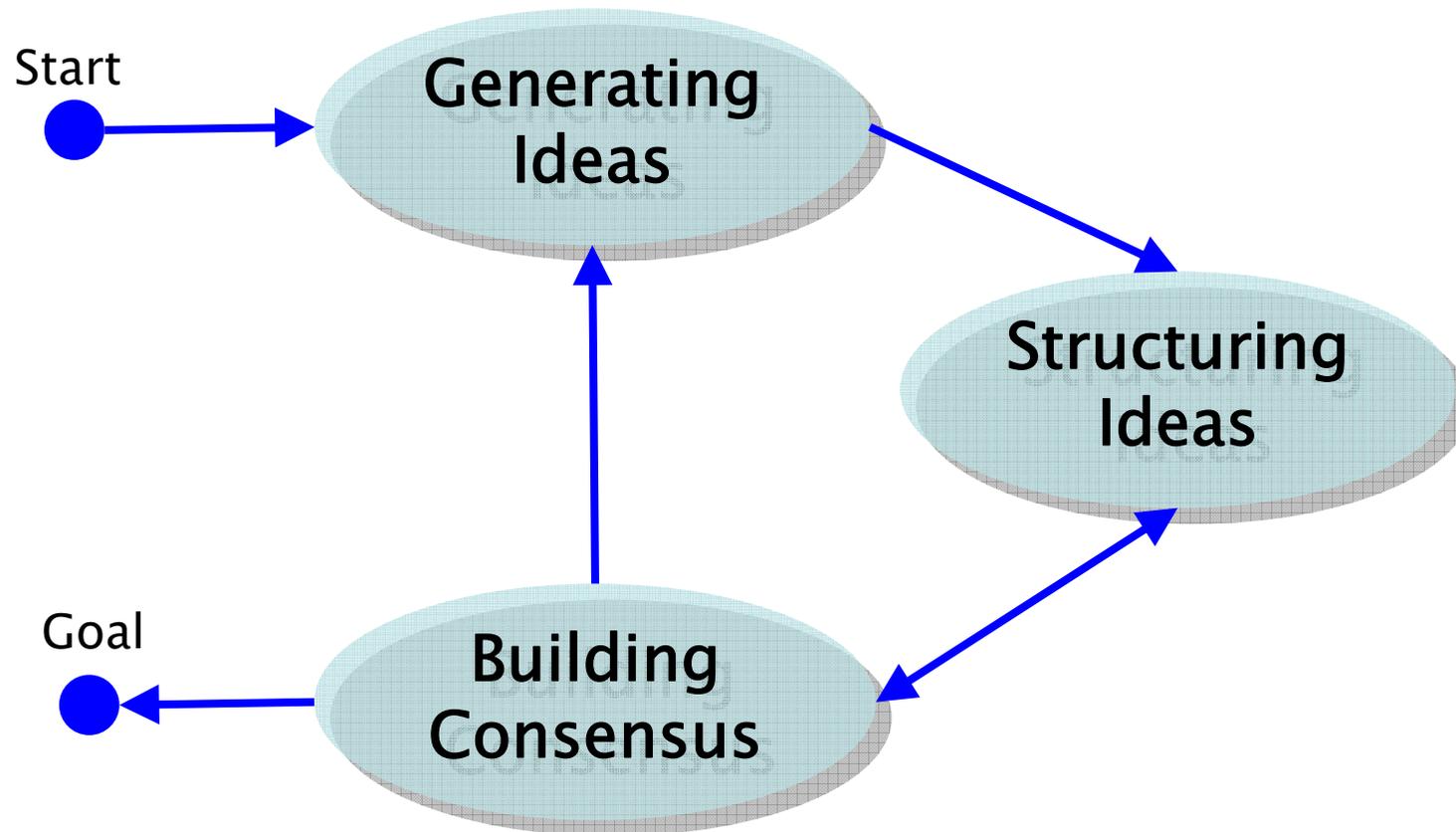


## *Project Schedule*





# Workshop as thinking process



Just Like Project Management Process



Through Workshops

Gradually  
Strengthening  
their sense of  
Commitment



Sense of Ownership

Unstated Fear/Needs

Recognizing problems

Recognized Problems

Forming/Expressing Ideas

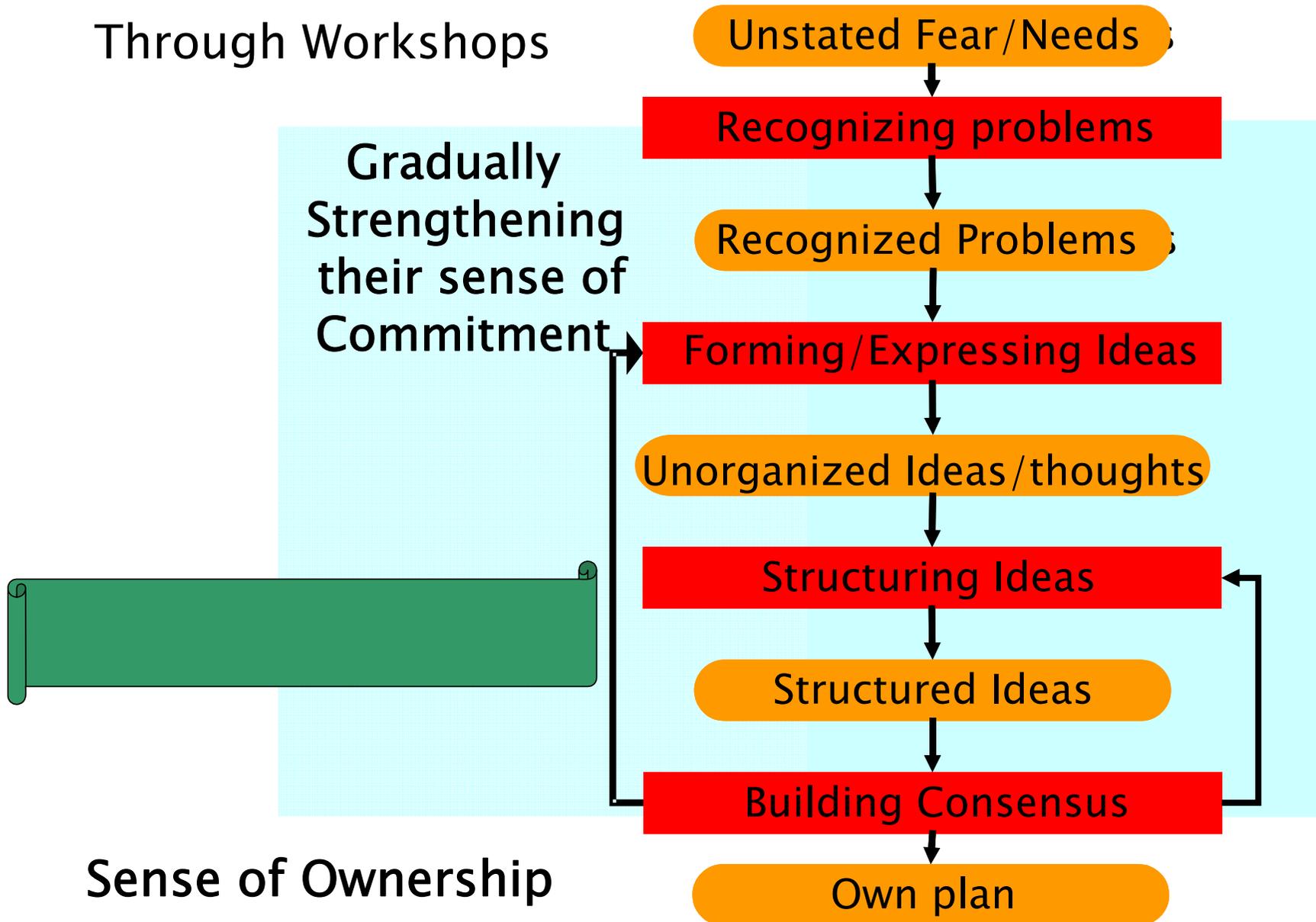
Unorganized Ideas/thoughts

Structuring Ideas

Structured Ideas

Building Consensus

Own plan





# Workshop is a powerful capacity building tool for stakeholders

1. By Providing a deeper understanding of issue
2. By Improving facilitation skills at workshop setting
3. By improving oral presentation skills (including PowerPoint)
4. By giving them a sense of ownership about the issue and the plan
5. By expanding their social network



# July Exhibition in Marikina City: Preserving Marikina's Future through Earthquake Disaster Reduction



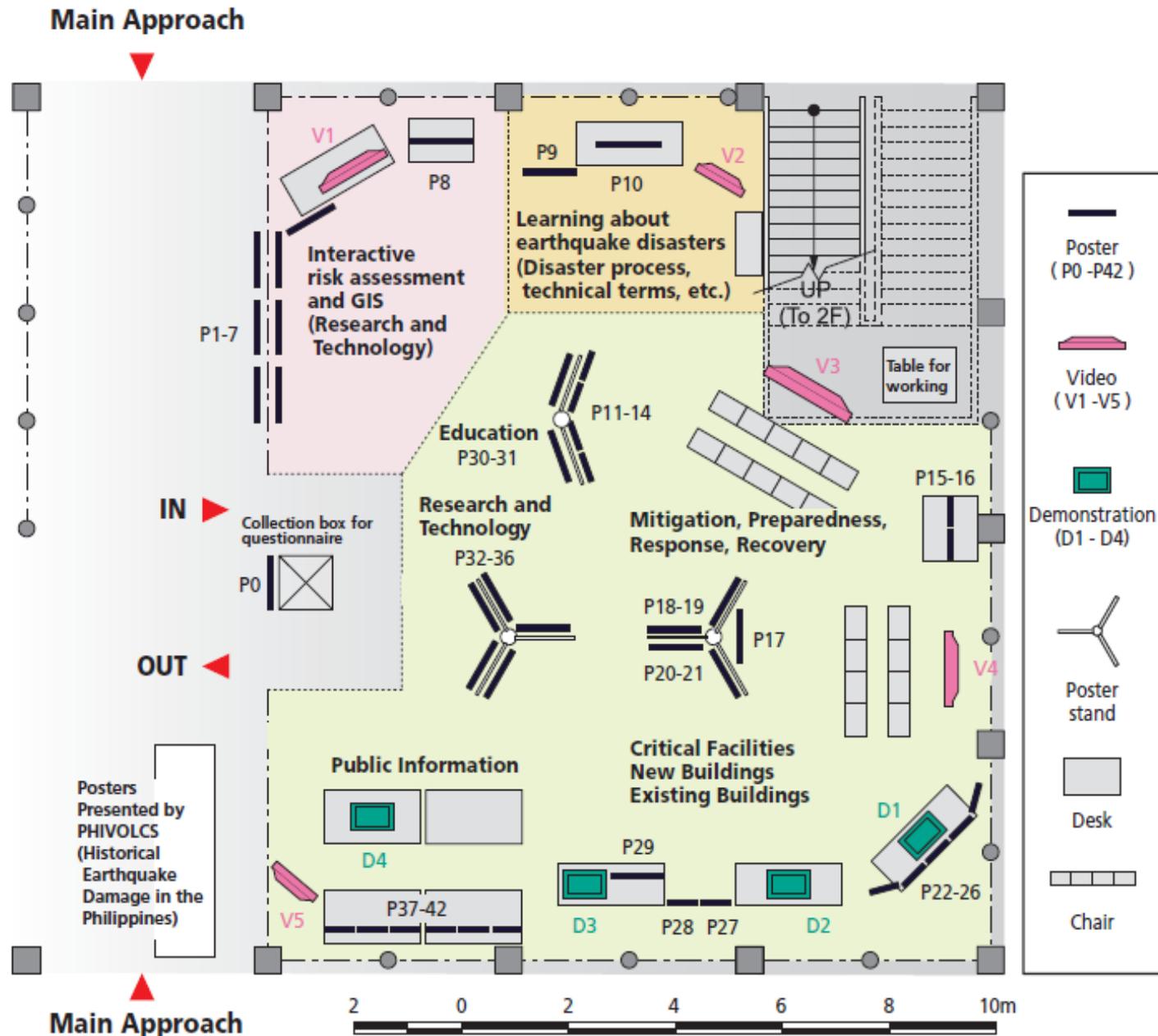


Figure 3. Layout of Marikina Exhibition

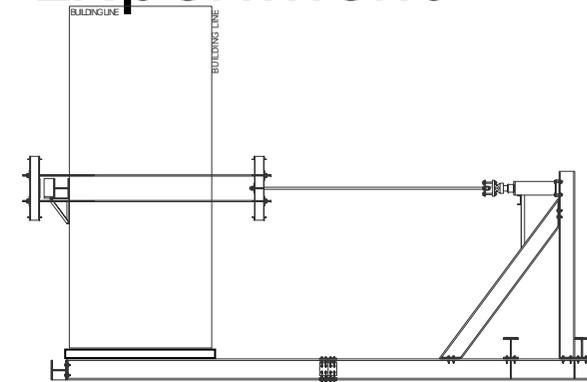




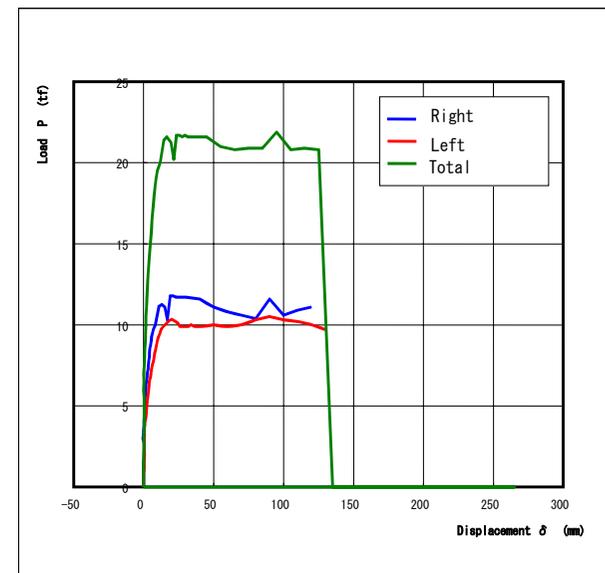




# Local Mitigation Project: Improving Non-Engineered Housing Construction Practice –Loading Experiment–



**Loading Equipment**





# Significance of Marikina Study:

- Processes
  - Establishing a standard procedure for participatory strategic planning
- Deliverables
  - Building capacity of key local stakeholder
  - Making disaster reduction as a tool for sustaining local economic development
  - Developing a holistic framework
    - Forming a long-term comprehensive plan:
    - Conducting multi-disciplinary research:

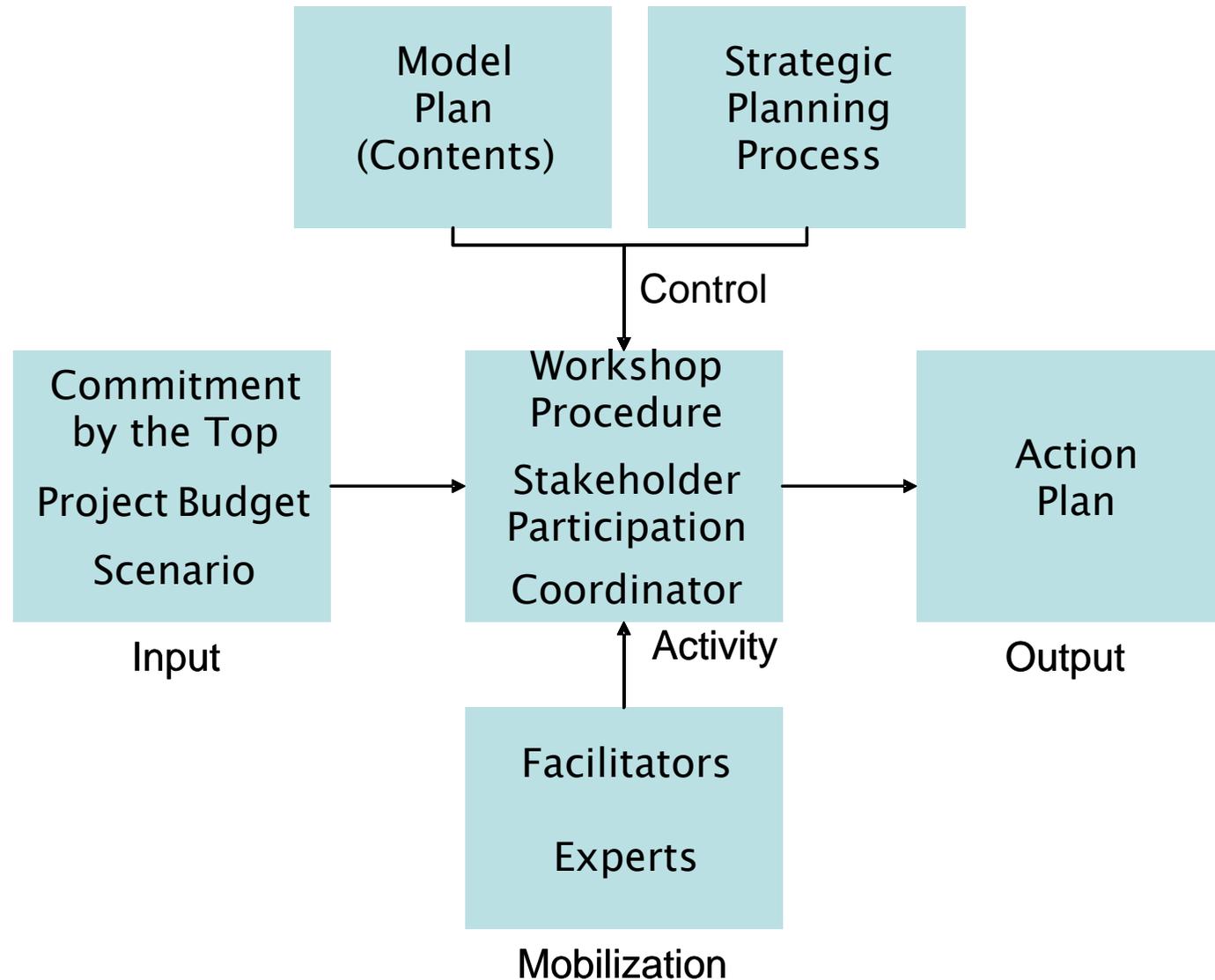


# Local Capacity Building through Stakeholder-based Strategic Planning

- How can governments and businesses develop the capacity for improved disaster mitigation, preparedness and recovery through capacity-building stakeholder participation?
  - Involve stakeholders at the outset
  - Avoid arbitrary imposition of top-down solutions
  - Provide expertise to widen stakeholder knowledge
- Challenge stakeholders to deal with all aspects of disaster management



# Model for Successful Participatory Strategic Planning





## Participatory Strategic Planning may be successful if

1. Participants generate ideas, structure them, and reach consensus by themselves
2. Objectives and Policies, i.e. “what” part of the plan, may be formulated by the participations of Citizens
3. Actions and Projects, i.e. “how” part of the plan, may be finished by those stakeholders who will take part in the implementation of the plan
4. With a help of a team of experts consisting of multi-disciplinary backgrounds and skills
5. Coordinator team should be formed by Local stakeholders and Expert team.



Participatory Strategic Planning is so new and different approach in Japan that it still has several difficulties such as

1. Difficult to get **the commitment by the Top**
2. Difficult to be appreciated as legitimate work in terms of **funding**
3. Difficult to come up with **quantitative targets**
4. Rather **labor Intensive**
5. **Few number of good facilitators and experts** with wide interests are available



# Our interpretation of Master plan “Strategy for bottom-up capacity building”

- Disaster reduction should be closely linked with sustainable development of the city and city planning
- Continuous process to improve capability
- Local stakeholder initiatives helped by experts
- Integrated approach with the collaboration of many different disciplines
- Customer oriented participatory approach
- Looking for inexpensive but effective countermeasures of all kinds



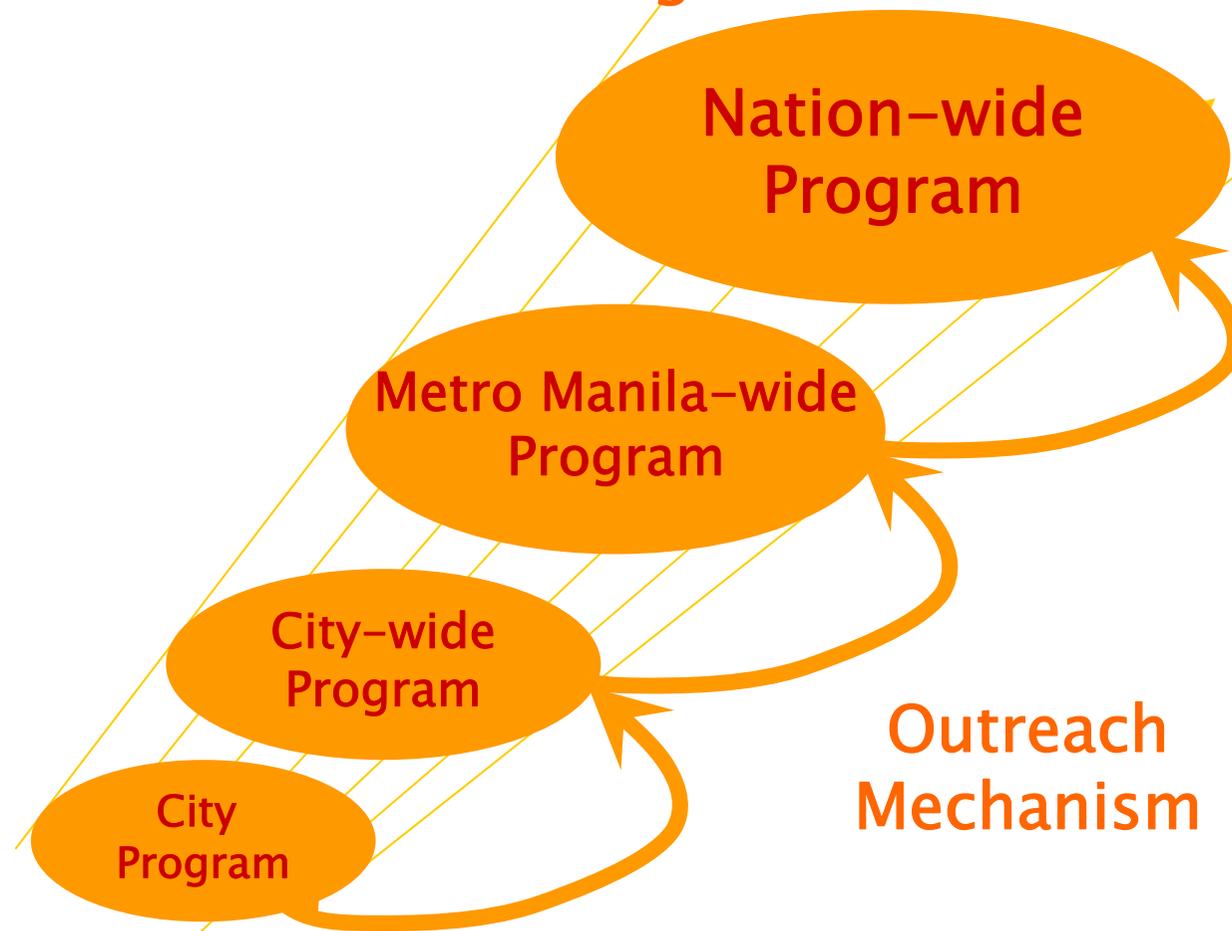
# Components of “EqTAP” Master Plan for Promoting Community-based Earthquake Disaster Reduction Capacity

- Safety goals, values, and understanding
- Stakeholder awareness and motivation
- Comprehensive earthquake disaster reduction program
- Outreach mechanism
- Stakeholder network



# Bottom-up Capacity Building Processes

Stakeholder Network with  
Safety goals, values, understanding,  
high awareness and motivation





# A Follow-up: PDC's Involvement and Contributions

1. Initial engagement and transition to PDC
2. PDC expansion of hazards mapping and GIS, to include flooding, for land use & economic development
3. Development of Risk Communications Tools
4. Expanding Marikina City effort to Metro Manila
5. Comments on Key Components for Successful Project Planning required to build Researcher-Practitioner-Stakeholder Coalitions

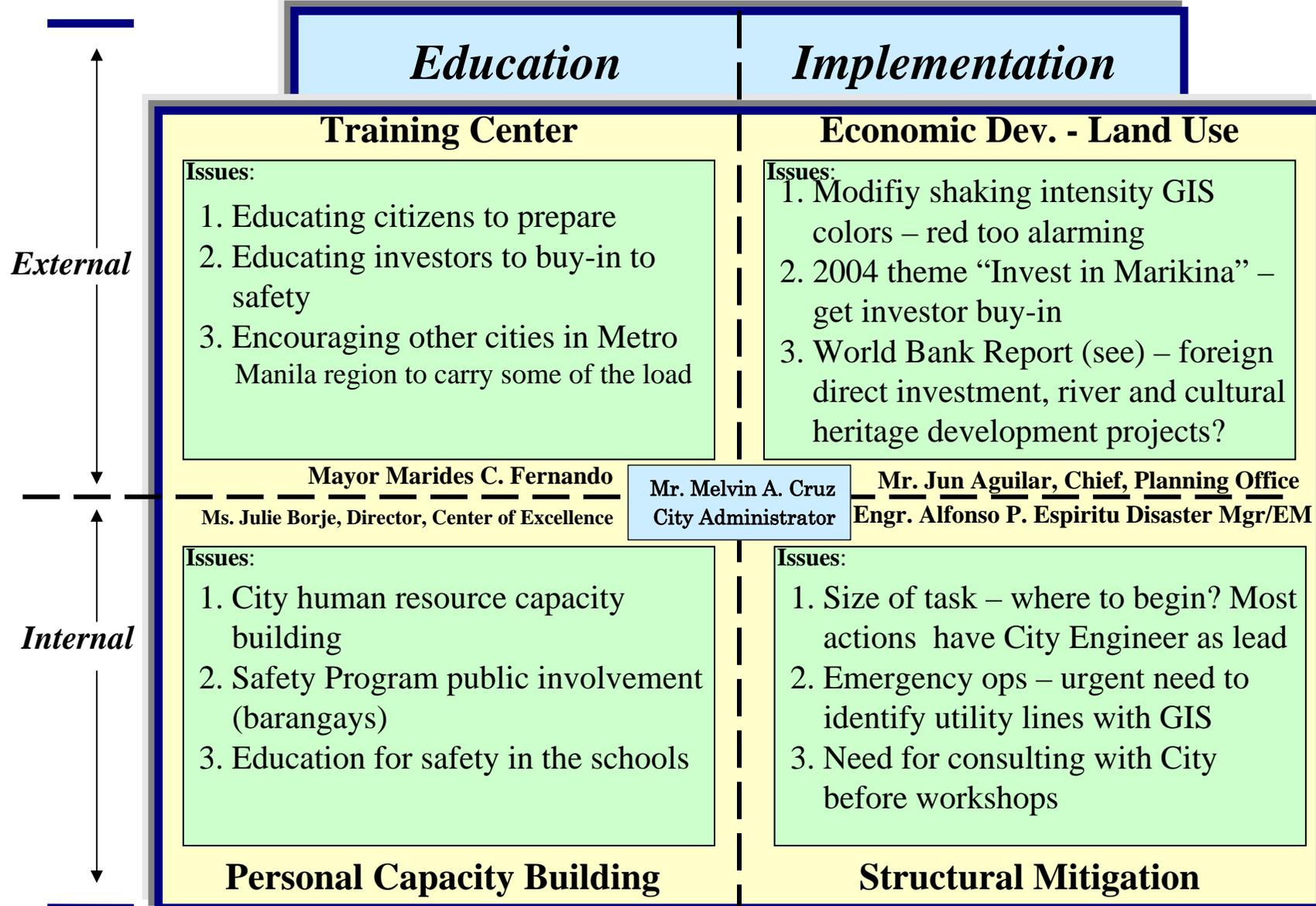


# Japan–Philippines–U. S. Collaborative Planning Process: 2004 –2006





# PDC Starting Point: Sustained Feedback from Decision Makers

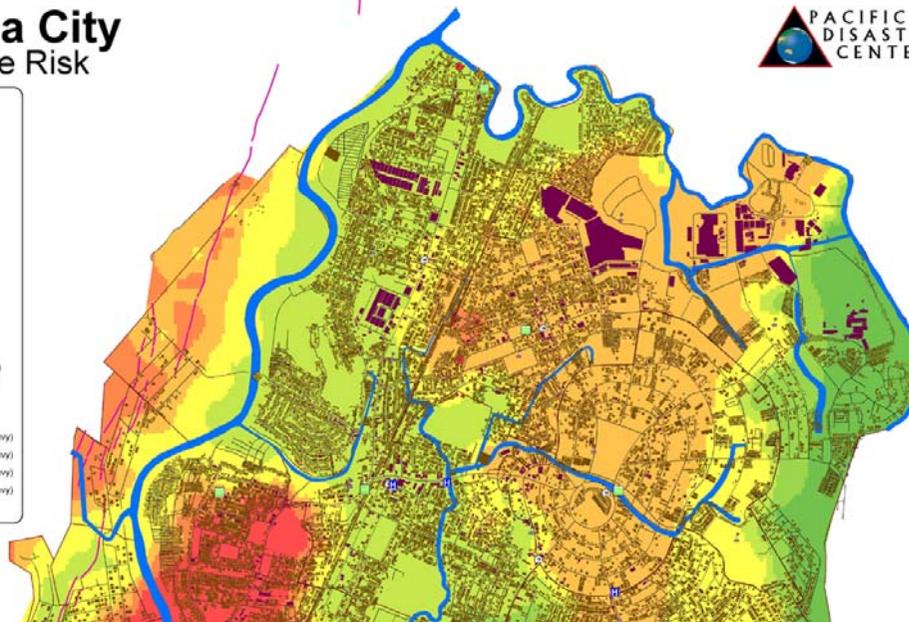




# Earthquake Vulnerability Map

## Marikina City Earthquake Risk

- Legend**
- ◆ Bridge
  - City Hall
  - Barangay Hall
  - Public Safety Center
  - Fire Station
  - ⌘ School
  - ⌘ Hospital
  - ⊙ Market
  - Residential Buildings
  - Business Buildings
  - River
  - Fault line ( PHIVOLCS)
  - Roads
  - Barangay Boundary
- Peak Ground Acceleration  
% of g (Estimated Damage)**
- 0.73 - 0.85 (Heavy)
  - 0.62 - 0.73 (Heavy)
  - 0.56 - 0.62 (Moderate to Heavy)
  - 0.54 - 0.58 (Moderate to Heavy)
  - 0.50 - 0.54 (Moderate to Heavy)
  - 0.41 - 0.50 (Moderate to Heavy)
  - 0.33 - 0.41 (Moderate)



### Legend

- ◆ Bridge
- City Hall
- Barangay Hall
- Public Safety Center
- Fire Station
- ⌘ School
- ⌘ Hospital
- ⊙ Market
- Residential Buildings
- Business Buildings
- River

— Fault line ( PHIVOLCS)

— Roads

□ Barangay Boundary

### Peak Ground Acceleration % of g (Estimated Damage)

- 0.73 - 0.85 (Heavy)
- 0.62 - 0.73 (Heavy)
- 0.58 - 0.62 (Moderate to Heavy)
- 0.54 - 0.58 (Moderate to Heavy)
- 0.50 - 0.54 (Moderate to Heavy)
- 0.41 - 0.50 (Moderate to Heavy)
- 0.33 - 0.41 (Moderate)

### Estimation of Damage to Buildings

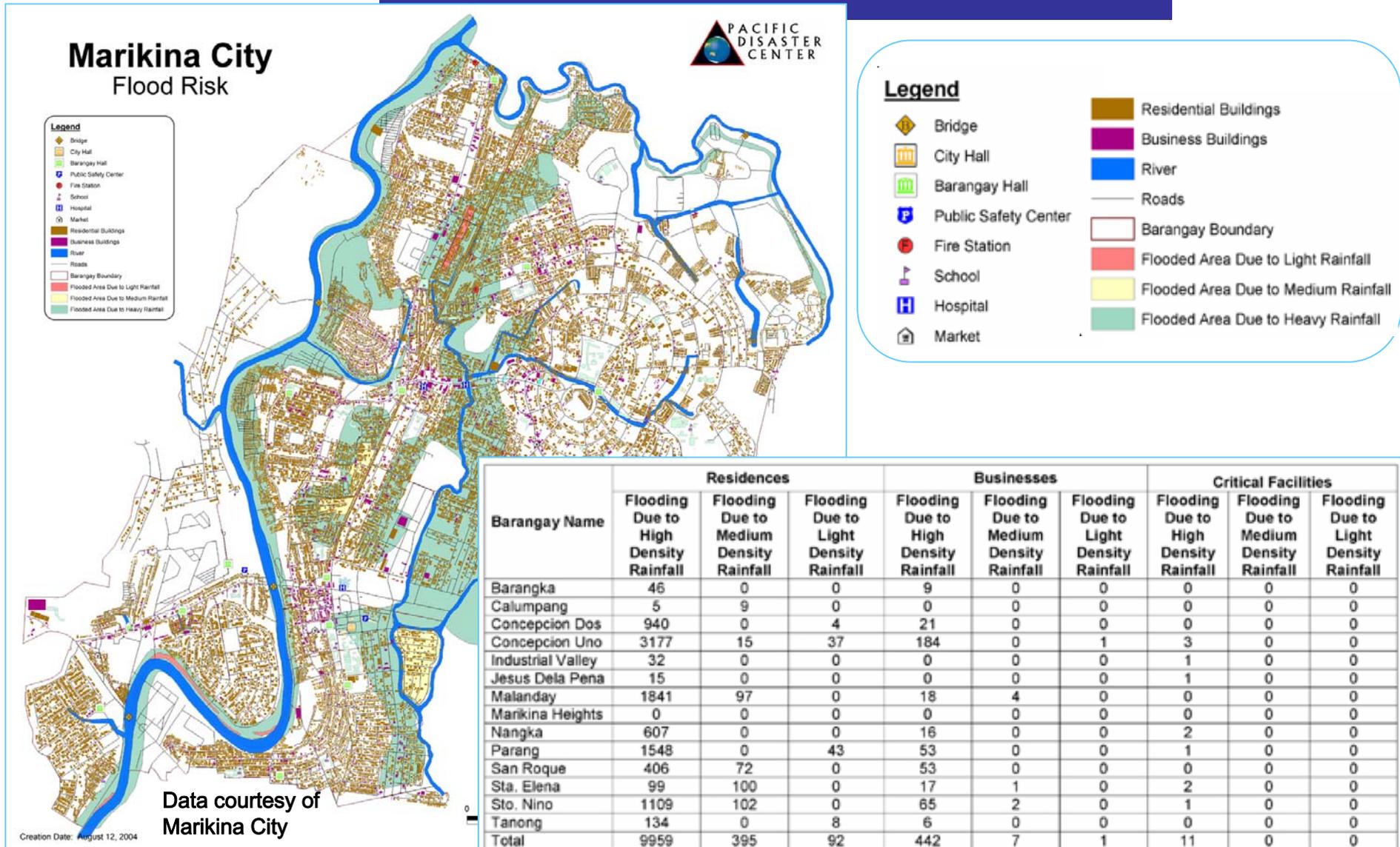
Barangay Name	Residences			Businesses			Critical Facilities		
	Moderate	Moderate to Heavy	Heavy	Moderate	Moderate to Heavy	Heavy	Moderate	Moderate to Heavy	Heavy
Barangka	0	911	67	0	118	45	0	3	0
Calumpang	0	0	1094	0	0	85	0	0	2
Concepcion Dos	21	3529	0	1	109	0	0	3	0
Concepcion Uno	0	6545	127	0	626	15	0	12	0
Industrial Valley	0	1067	331	0	8	1	0	2	1
Jesus Dela Pena	0	0	663	0	0	16	0	0	2
Malanday	0	1524	1883	0	40	99	0	1	0
Marikina Heights	241	3133	0	13	177	0	0	6	0
Nangka	0	2852	0	0	150	0	0	5	0
Parang	838	4643	110	24	356	5	0	7	0
San Roque	0	132	2057	0	9	156	0	0	2
Sta. Elena	0	151	293	0	5	153	0	1	4
Sto. Nino	0	890	1210	0	74	145	0	1	3
Tanong	0	63	783	0	8	73	0	1	3
<b>Total</b>	<b>1100</b>	<b>25440</b>	<b>8618</b>	<b>38</b>	<b>1680</b>	<b>793</b>	<b>0</b>	<b>42</b>	<b>17</b>

Data courtesy of  
PHIVOLCS and  
Marikina City

Creation Date: August 12, 2004



# Riverine Flood Vulnerability Map



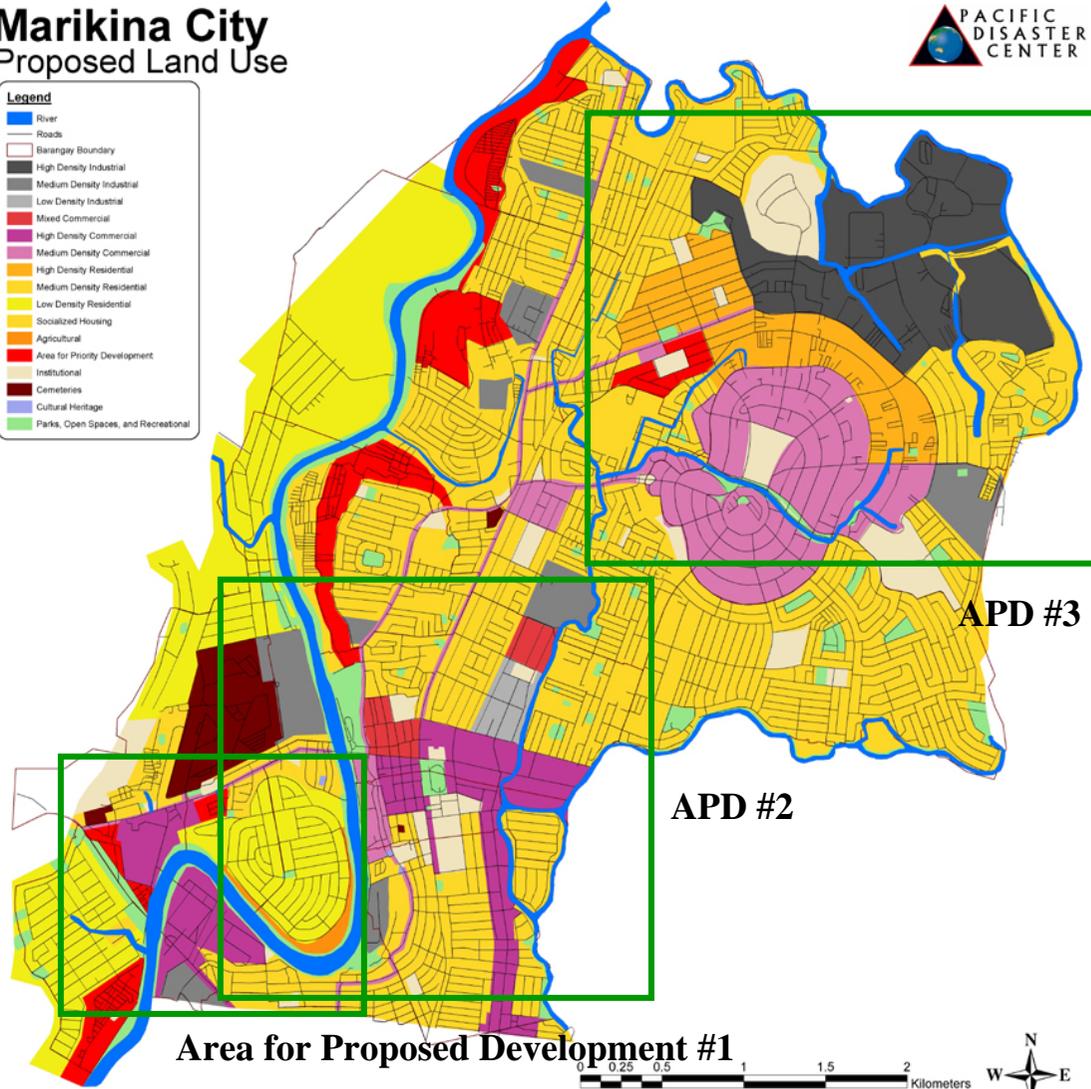


# Land-use Planning Example: Areas for Proposed Development

## Marikina City Proposed Land Use



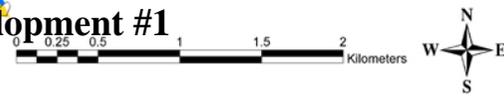
- Legend**
- River
  - Roads
  - Barangay Boundary
  - High Density Industrial
  - Medium Density Industrial
  - Low Density Industrial
  - Mixed Commercial
  - High Density Commercial
  - Medium Density Commercial
  - High Density Residential
  - Medium Density Residential
  - Low Density Residential
  - Socialized Housing
  - Agricultural
  - Area for Priority Development
  - Institutional
  - Cemeteries
  - Cultural Heritage
  - Parks, Open Spaces, and Recreational



**Legend**

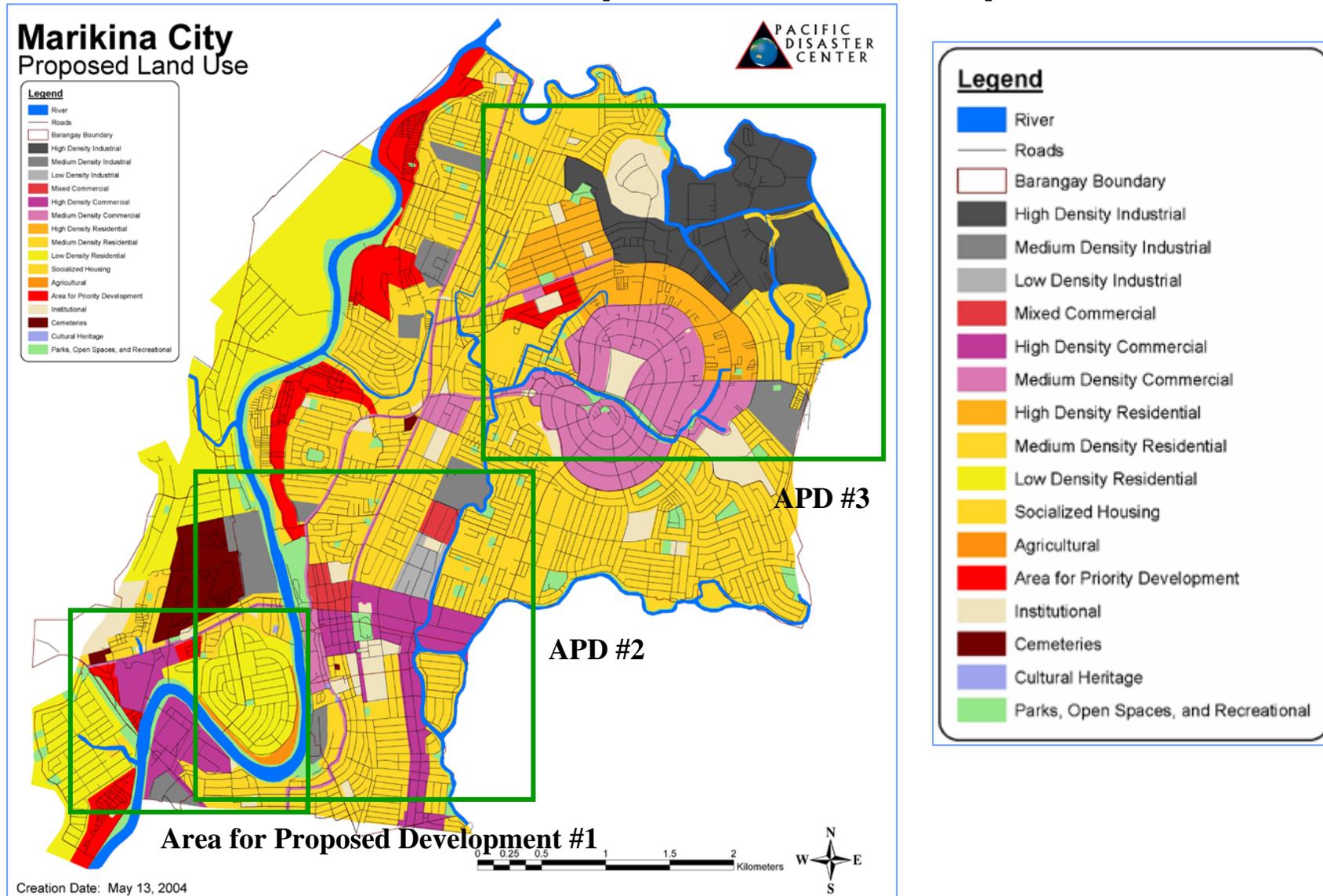
- River
- Roads
- Barangay Boundary
- High Density Industrial
- Medium Density Industrial
- Low Density Industrial
- Mixed Commercial
- High Density Commercial
- Medium Density Commercial
- High Density Residential
- Medium Density Residential
- Low Density Residential
- Socialized Housing
- Agricultural
- Area for Priority Development
- Institutional
- Cemeteries
- Cultural Heritage
- Parks, Open Spaces, and Recreational

Area for Proposed Development #1



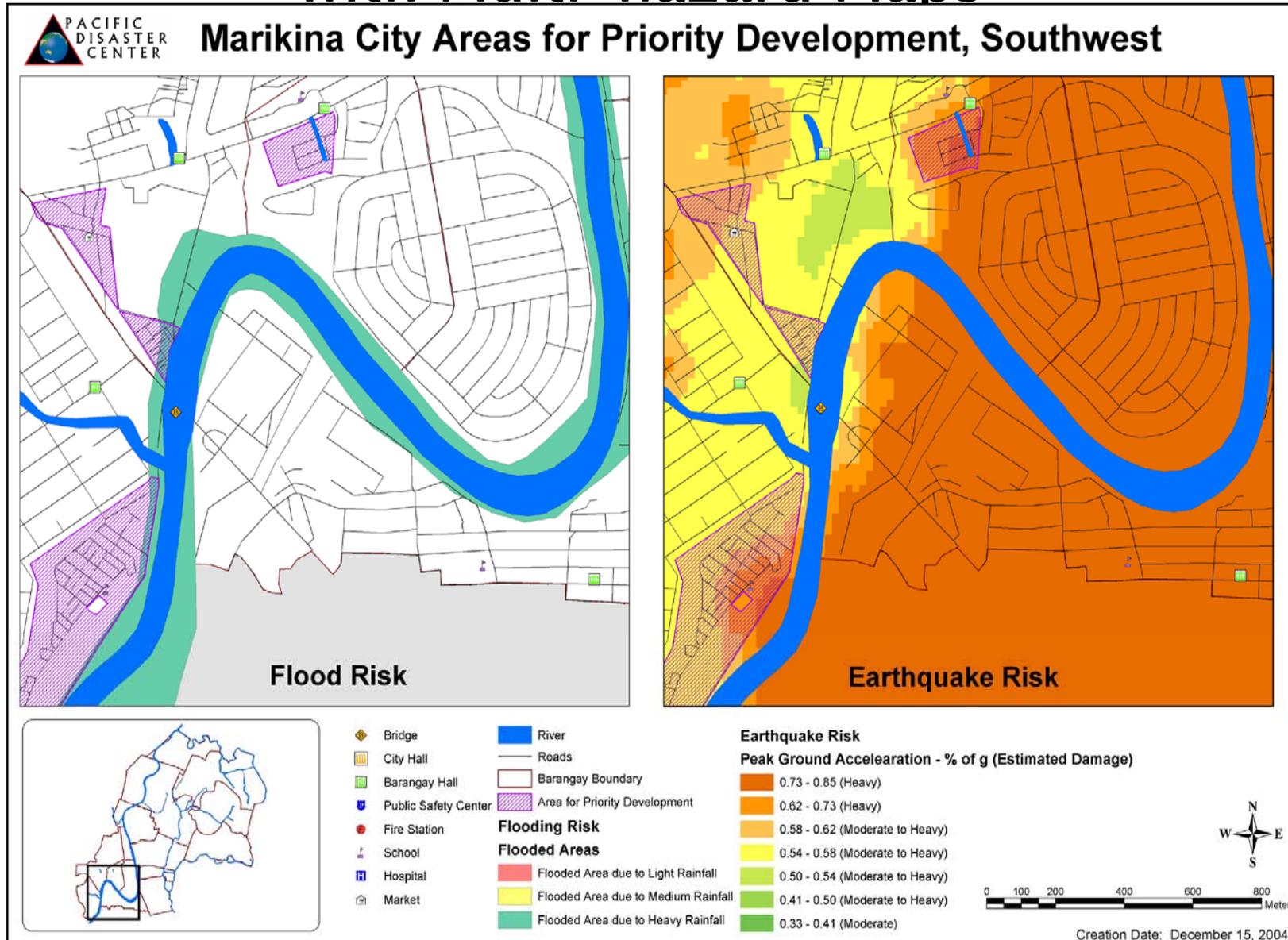


# Land-use Planning Example: Areas for Proposed Development



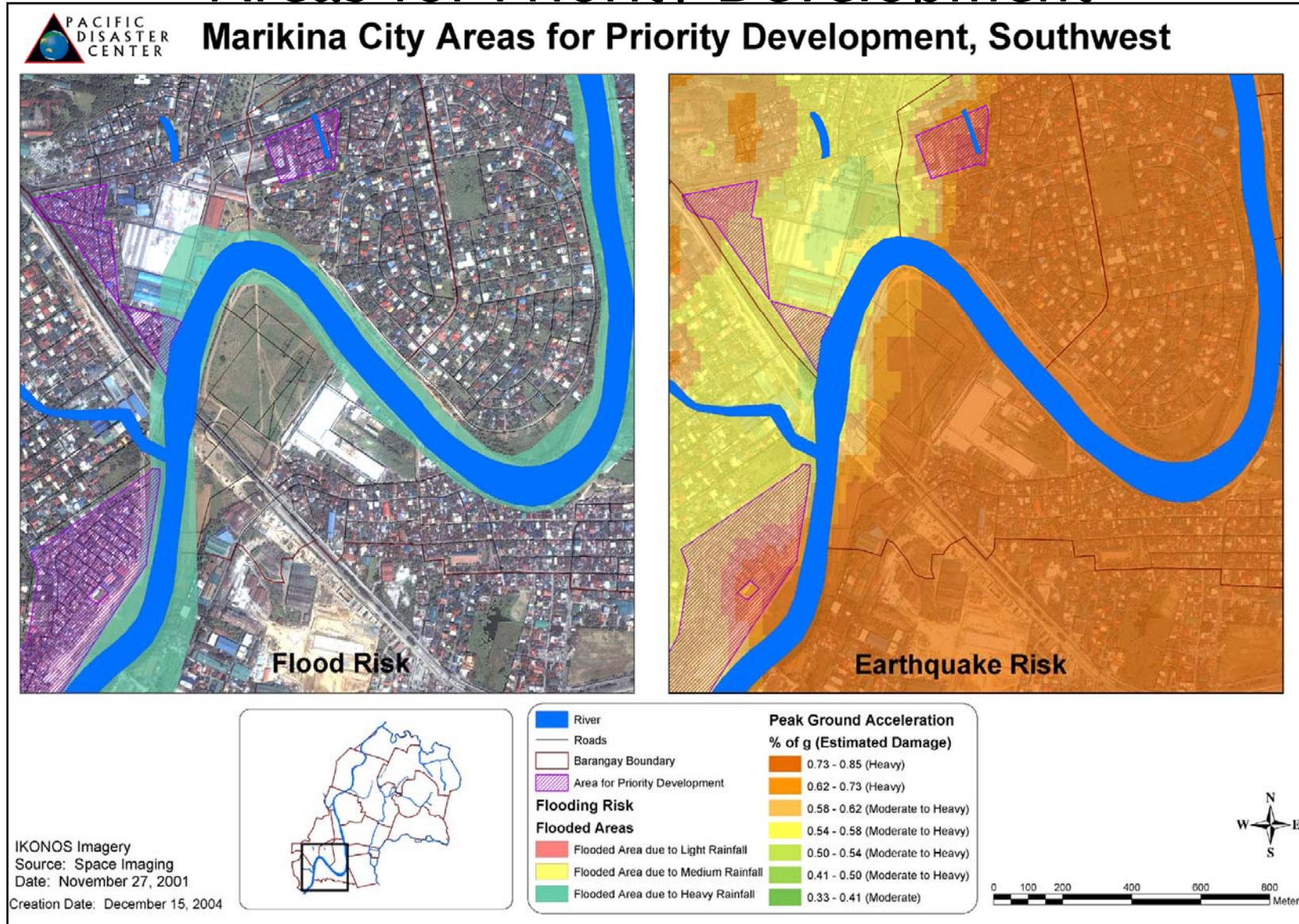


# Used GIS to combine Land use with Multi-hazard Maps



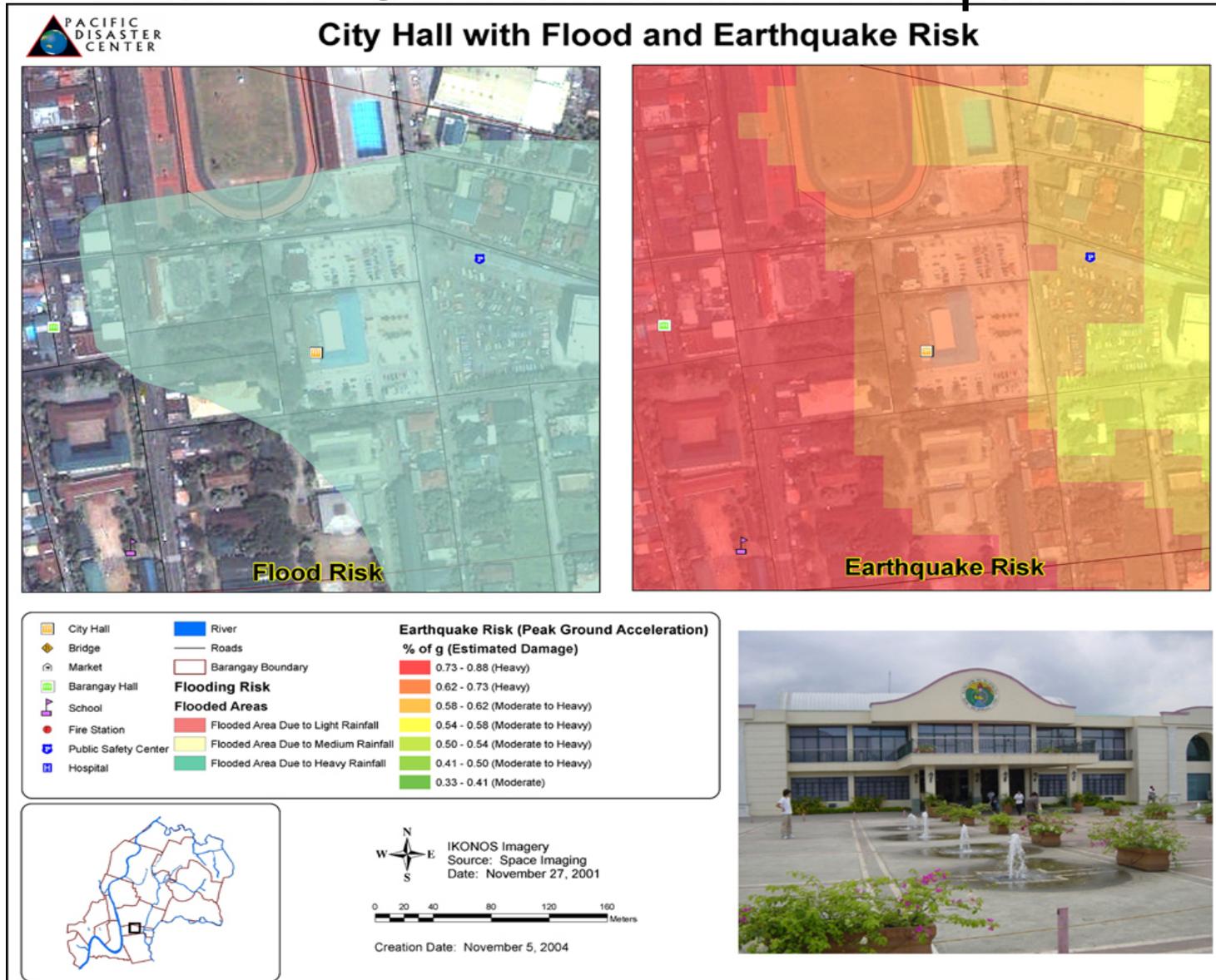


# Creating Awareness of Vulnerability for Areas for Priority Development



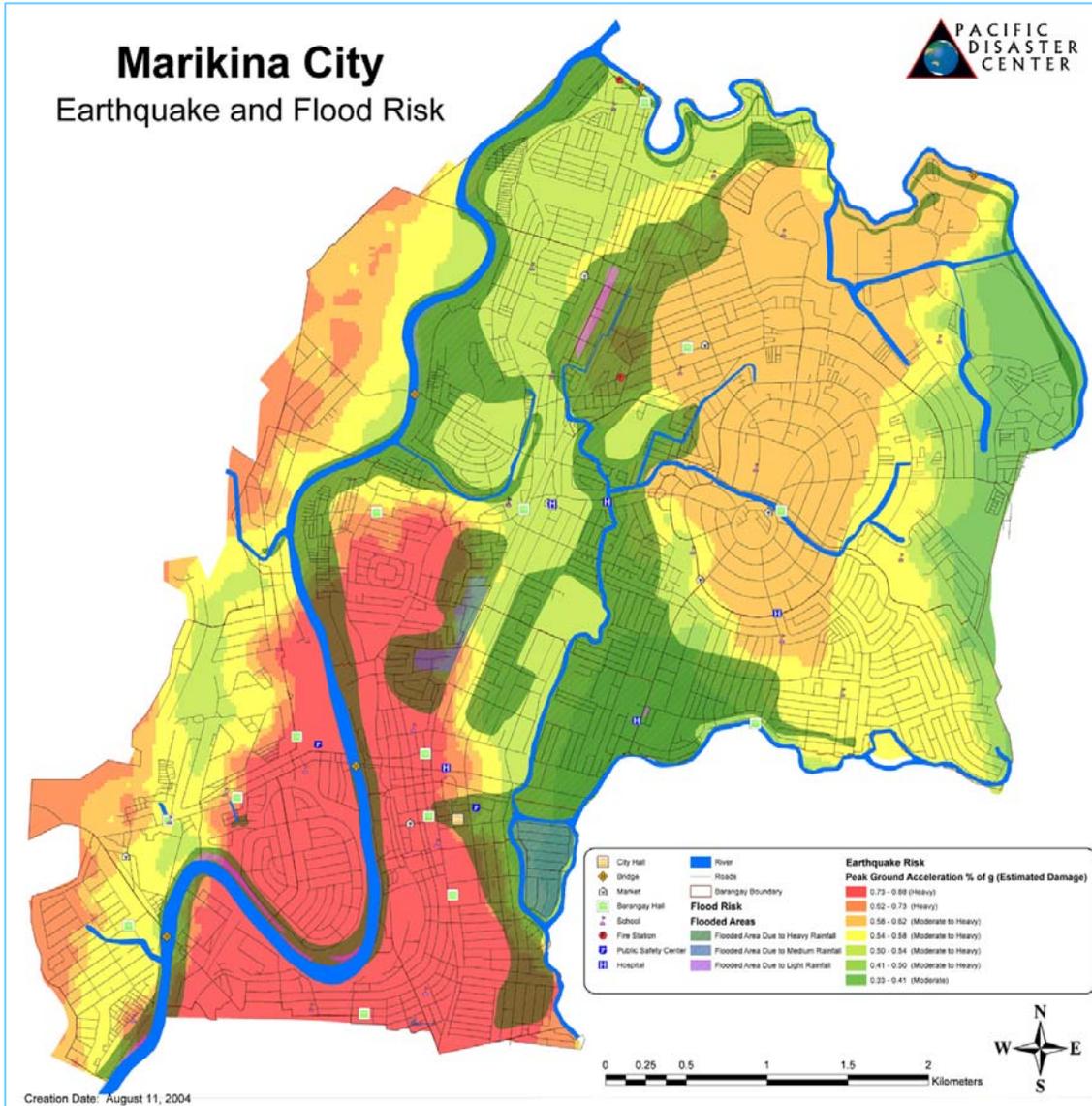


# Risk Reduction Framework Includes Guidelines and Templates





# Multi-Hazard Risk Map



**Earthquake Risk**  
Peak Ground Acceleration % of g (Estimated Damage)

- 0.73 - 0.88 (Heavy)
- 0.62 - 0.73 (Heavy)
- 0.58 - 0.62 (Moderate to Heavy)
- 0.54 - 0.58 (Moderate to Heavy)
- 0.50 - 0.54 (Moderate to Heavy)
- 0.41 - 0.50 (Moderate to Heavy)
- 0.33 - 0.41 (Moderate)

**Legend:**

- City Hall
- Bridge
- Market
- Barangay Hall
- School
- Fire Station
- Public Safety Center
- Hospital
- River
- Roads
- Barangay Boundary

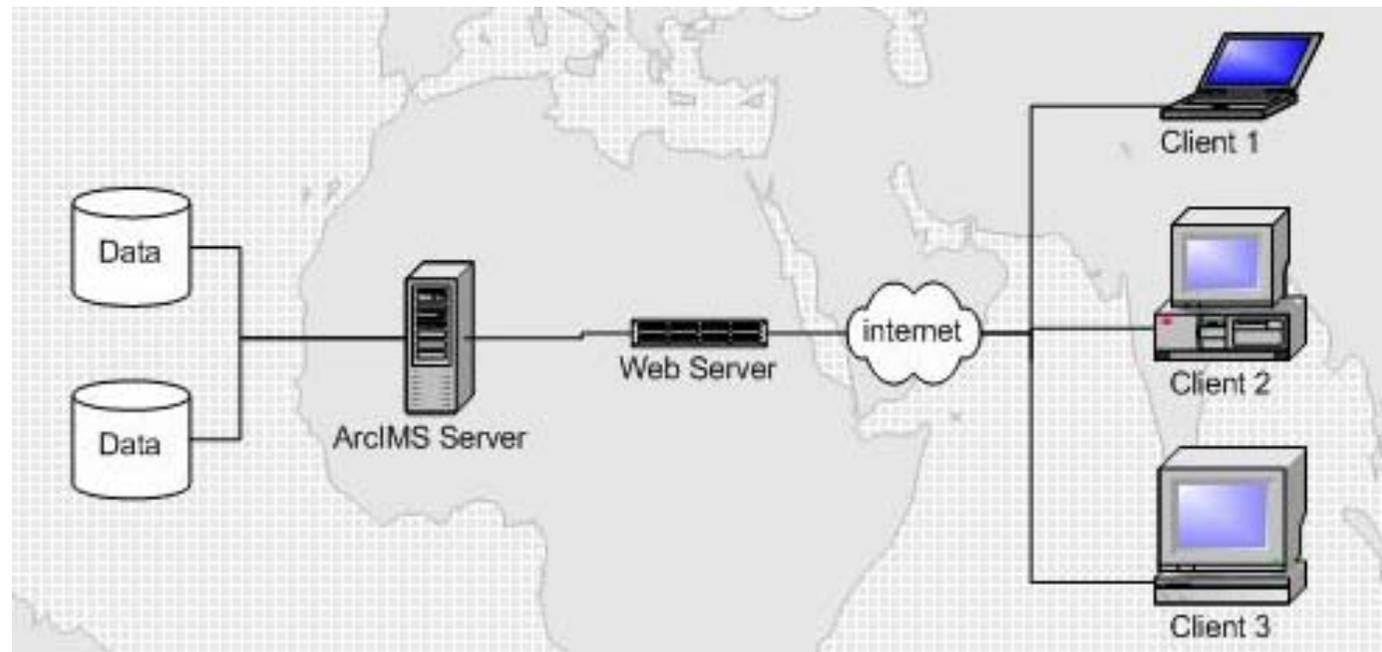
**Flood Risk**  
**Flooded Areas**

- Flooded Area Due to Heavy Rainfall
- Flooded Area Due to Medium Rainfall
- Flooded Area Due to Light Rainfall



## Web Accessible GIS

- A web accessible GIS system allows users to access GIS information via the internet.





# Marikina City Internet Map Viewer

**Tools**

- Help ?
- Legend/Layers
- Zoom In
- Zoom Out
- Full Extent
- Zoom Active
- Zoom Last
- Pan
- Identify
- Identify All
- Query
- Measure
- Buffer
- Select Box
- Clear

(c) Pacific Disaster Center / East-West Center

**Refresh Map**

**Layers**

- All Layers
- Facilities
  - City Hall
  - Barangay Hall
  - Public Safety Center
  - Fire Station
  - Hospital
  - Elementary School
  - Market
  - Building Footprints
- Transportation
- Utilities
- Boundaries
- Hazards
  - Earthquake
  - Flood
- Elevation and Imagery
  - 2m Contours
  - DEM
  - Shaded Relief
  - Marikina IKONOS
  - Air Photos
  - QuickBird\_XS
  - QuickBird\_Pan

**TOC Help**

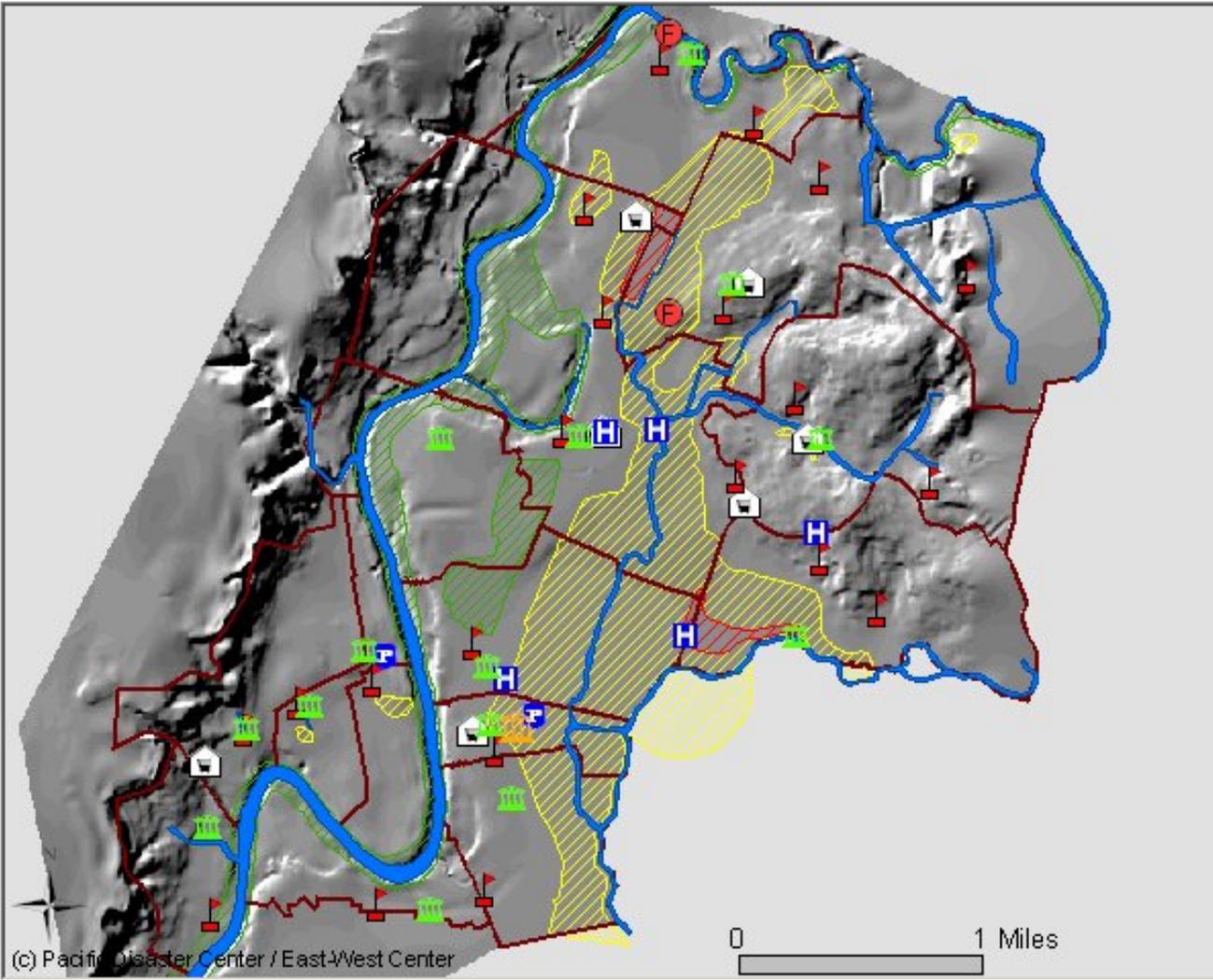
- Closed group, click to open
- Open group, click to close
- Hidden group/layer, click to make visible

**URL: [www.pdc.org/marikina](http://www.pdc.org/marikina)**



# 1992 Flood Prone Areas & Critical Facility Locations

- Tools
- [Help ?](#)
- [Layers](#)
- [Zoom In](#)
- [Zoom Out](#)
- [Full Extent](#)
- [Layers Active](#)
- [Home](#)
- [Pan](#)
- [Identify](#)
- [Notify All](#)
- [Query](#)
- [Measure](#)
- [Buffer](#)
- [Select Box](#)
- [Clear](#)

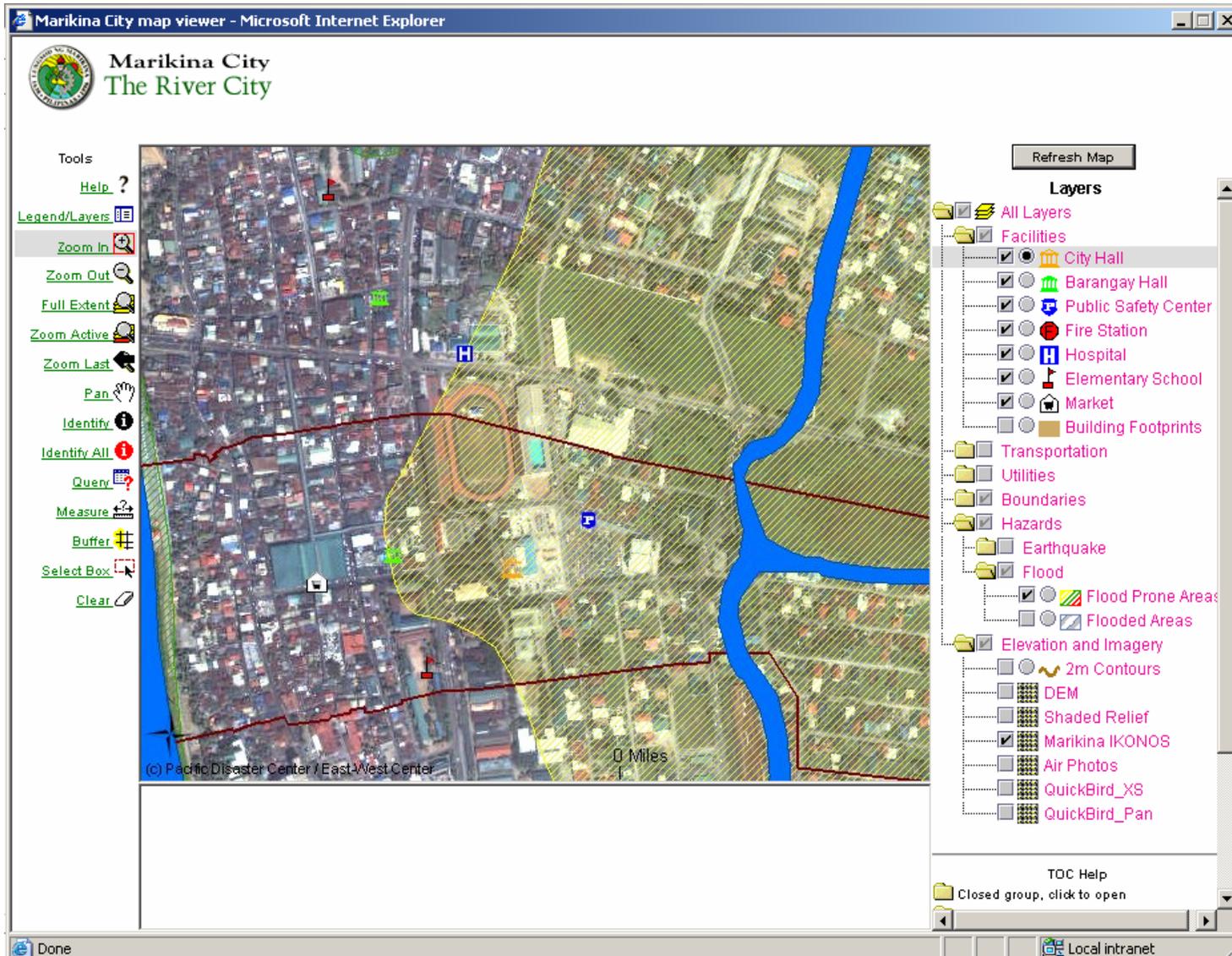


Refresh Map

- Layers
- All Layers
- Facilities
  - City Hall
  - Barangay Hall
  - Public Safety Center
  - Fire Station
  - Hospital
  - Elementary School
  - Market
  - Building Footprints
- Transportation
- Utilities
- Boundaries
- Hazards
  - Earthquake
  - Flood
    - Flood Prone Areas
    - Flooded Areas
- Elevation and Imagery
  - 2m Contours
  - DEM
  - Shaded Relief
  - Marikina IKONOS
  - Air Photos
  - QuickBird\_XS
  - QuickBird\_Pan

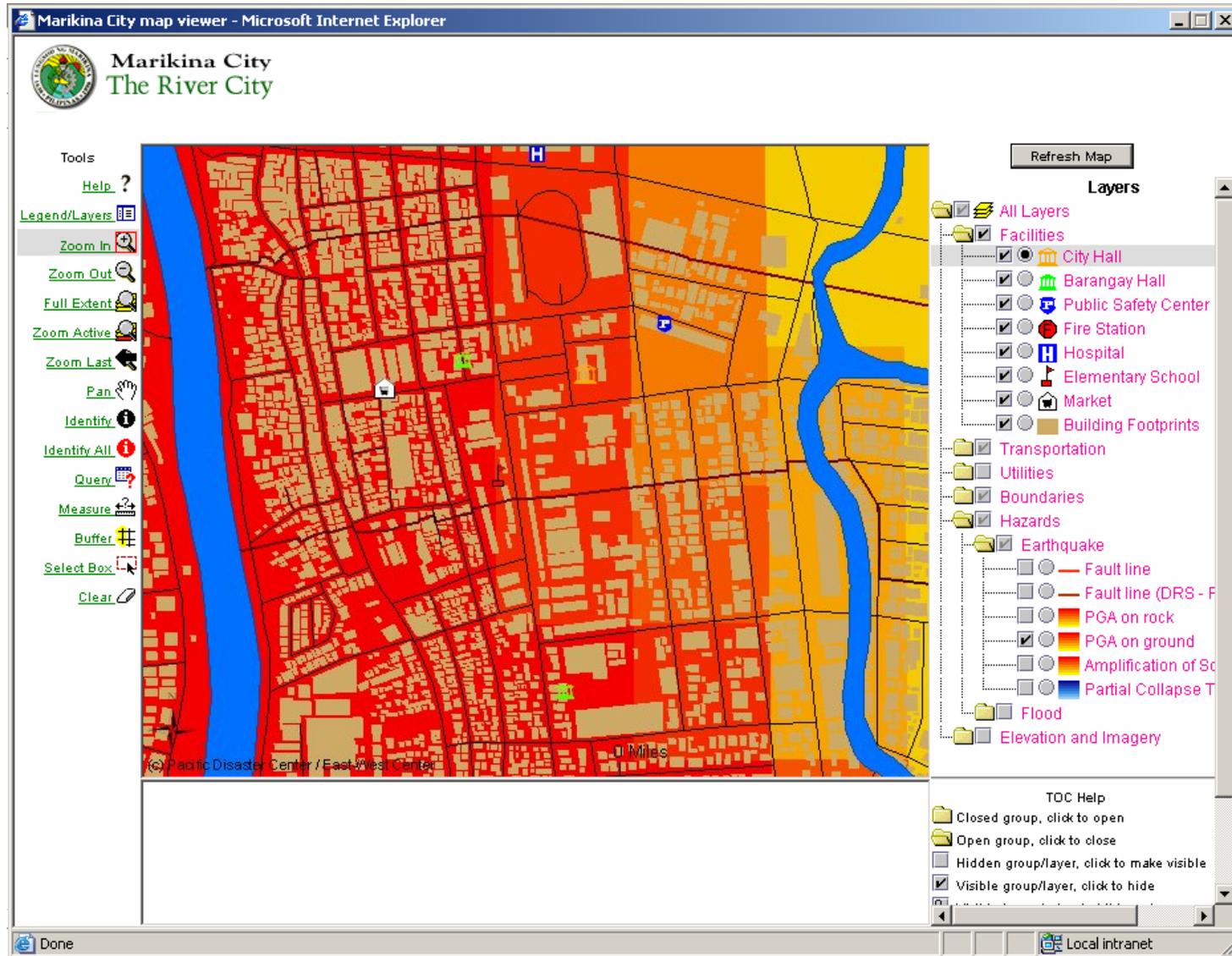


# Flood Prone Area Near City Hall





# Peak Ground Acceleration Near City Hall





# Lessons from Marikina City: Key Components for Successful Planning (1)

1. Sustained involvement by international experts
2. Advocacy by local political leaders
3. Risk Reduction Planning Framework that makes sense to local stakeholders
4. Sustained feedback from City decision makers



# Lessons from Marikina City: Key Components for Successful Planning (2)

5. Proactive City Practitioner Advisory Committee
6. Involvement of local subject matter experts
7. Informed citizenry through project participation and training
8. Awareness and lessons learned from disasters and emergency events



# Conclusion: Researcher–Practitioner–Stakeholder Coalitions

Our Japan–Philippines–United States collaborative planning process for Marikina City, Philippines has created a Researcher–Practitioner–Stakeholder Coalition and has led to a Disaster Risk Management Master Planning Agenda for addressing urban risk around the globe, including Metro Manila and Kathmandu